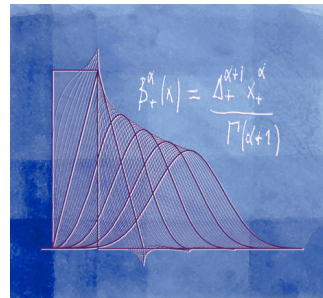


Sampling and interpolation for biomedical imaging: Part I

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ISBI 2006, Tutorial, Washington DC, April 2006

INTRODUCTION

■ Fundamental issue in biomedical imaging

Linking the *discrete* and the *continuous*

■ Mismatch between theory and practice

- Theory : Shannon's sampling theorem
- Practice: nearest neighbor, linear interpolation

■ Limitations of Shannon sampling theory

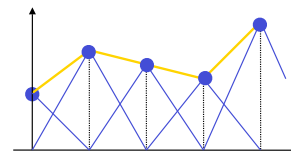
- Ideal lowpass filters do not exist
- Incompatible with finite support signals
- Gibbs oscillations
- Slow decay of $\text{sinc}(x)$

■ Basic problem

How do you interpolate a signal ?

Acquisition

Algorithm design



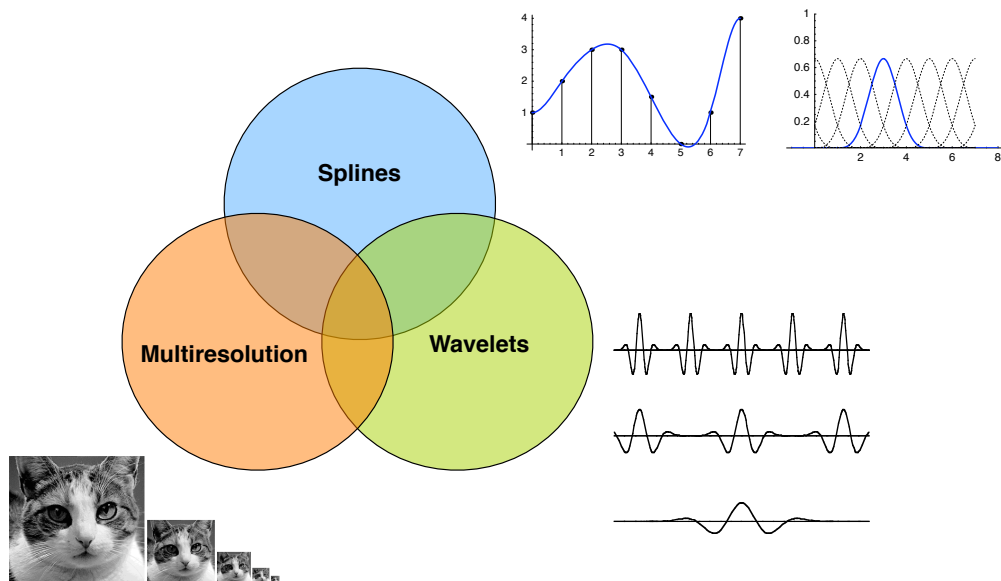
Interpolation and biomedical imaging

Image processing task	Specific operation	Imaging modality
Tomographic reconstruction	<ul style="list-style-type: none"> Filtered backprojection Fourier reconstruction Iterative techniques 3D + time 	Commercial CT (X-rays) EM PET, SPECT Dynamic CT, SPECT, PET
Sampling grid conversion	<ul style="list-style-type: none"> Polar-to-cartesian coordinates Spiral sampling k-space sampling Scan conversion 	Ultrasound (endovascular) Spiral CT, MRI MRI
Visualization	<i>2D operations</i> <ul style="list-style-type: none"> Zooming, panning, rotation Re-sizing, scaling 	All
	<ul style="list-style-type: none"> Stereo imaging Range, topography 	Fundus camera OCT
	<i>3D operations</i> <ul style="list-style-type: none"> Re-slicing Max. intensity projection Simulated X-ray projection 	CT, MRI, MRA
	<i>Surface/volume rendering</i> <ul style="list-style-type: none"> Iso-surface ray tracing Gradient-based shading Stereogram 	CT MRI
Geometrical correction	<ul style="list-style-type: none"> Wide-angle lenses Projective mapping Aspect ratio, tilt Magnetic field distortions 	Endoscopy C-Arm fluoroscopy Dental X-rays MRI
Registration	<ul style="list-style-type: none"> Motion compensation Image subtraction Mosaicking Correlation-averaging Patient positioning Retrospective comparisons Multi-modality imaging Stereotactic normalization Brain warping 	fMRI, fundus camera DSA Endoscopy, fundus camera, EM microscopy Surgery, radiotherapy CT/PET/MRI
Feature detection	<ul style="list-style-type: none"> Contours Ridges Differential geometry 	All
	<i>Contour extraction</i> <ul style="list-style-type: none"> Snakes and active contours 	MRI, Microscopy (cytology)

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Splines: a unifying framework

Linking the discrete and the continuous



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Splines: bad press phenomenon

- Classical review article on interpolation, IEEE TMI, 1983
Comparison of four interpolators:
“The cubic B-spline provides the most smoothing.”
- Classical book on Digital Image Processing, 1991 (2nd ed)
About high-order B-splines:
“[out-of-band] interpolation error reduces significantly for higher-order interpolation functions, but at the expense of resolution error [i.e., distortion]”
- ⋮
- Recent book on Volume Rendering, 1998
“The results of scaling the original image using [cubic] B-spline interpolation are shown in Figure 5.20. You can see the blurring effects

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CONTINUOUS/DISCRETE REPRESENTATION

- Splines: definition
- Basic atoms: B-splines
- Riesz bases

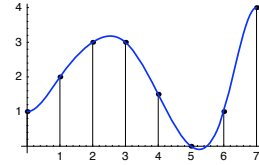


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Splines: definition

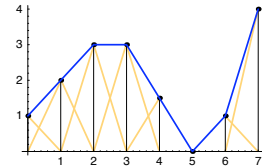
Definition: A function $s(x)$ is a polynomial spline of degree n with knots $\dots < x_k < x_{k+1} < \dots$ iff. it satisfies the following two properties:

- Piecewise polynomial:
 $s(x)$ is a polynomial of degree n within each interval $[x_k, x_{k+1})$;
- Higher-order continuity:
 $s(x), s^{(1)}(x), \dots, s^{(n-1)}(x)$ are continuous at the knots x_k .



- Effective degrees of freedom per segment:

$$\begin{array}{ccc} (n+1) & - & n & = & 1 \\ \text{(polynomial coefficients)} & & \text{(constraints)} & & \end{array}$$



- **Cardinal splines** = unit spacing and infinite number of knots



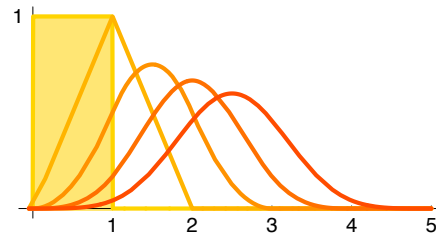
The right framework for signal processing !

1-7

Polynomial B-splines

- B-spline of degree n

$$\beta_+^n(x) = \underbrace{\beta_+^0 * \beta_+^0 * \dots * \beta_+^0}_{(n+1) \text{ times}}(x)$$



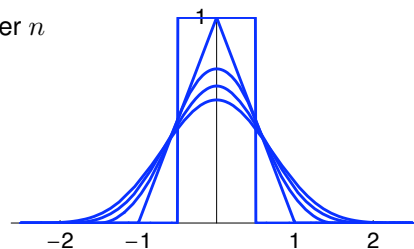
$$\beta_+^0(x) = \begin{cases} 1, & x \in [0, 1) \\ 0, & \text{otherwise.} \end{cases}$$

- Key properties

- Compact support: shortest polynomial spline of degree n
- Positivity
- Piecewise polynomial
- Smoothness: Hölder-continuous of order n

- Symmetric B-spline

$$\beta_+^n(x) = \beta_+^n\left(x + \frac{n+1}{2}\right)$$



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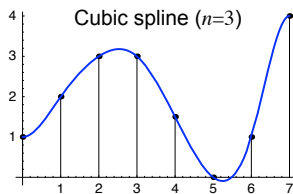
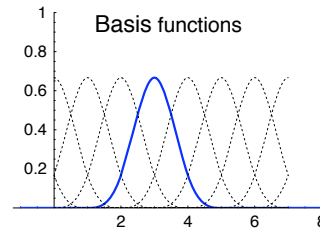
B-spline representation

Theorem (Schoenberg, 1946)

Every cardinal polynomial spline $s(x)$ has a unique and stable representation in terms of its B-spline expansion

$$s(x) = \sum_{k \in \mathbb{Z}} c[k] \beta_+^n(x - k)$$

↑ analog signal
↑ discrete signal (B-spline coefficients)



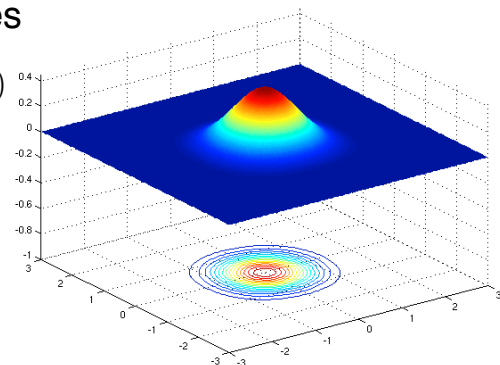
In modern terminology: $\{\beta_+^n(x - k)\}_{k \in \mathbb{Z}}$ forms a Riesz basis.

1-9

B-spline representation of images

■ Symmetric, tensor-product B-splines

$$\beta^n(x_1, \dots, x_d) = \beta^n(x_1) \times \dots \times \beta^n(x_d)$$



■ Multidimensional spline function

$$s(x_1, \dots, x_d) = \sum_{(k_1, \dots, k_d) \in \mathbb{Z}^d} c[k_1, \dots, k_d] \beta^n(x_1 - k_1, \dots, x_d - k_d)$$

↑ continuous-space image
↑ image array (B-spline coefficients)
↑ Compactly supported basis functions

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Riesz basis

Definition: Let $V = \text{span}\{\varphi_k\}_{k \in \mathbb{Z}}$ be a subspace of a Hilbert space H . Then, $\{\varphi_k\}_{k \in \mathbb{Z}}$ is a Riesz basis of V iff. there exist two constants $A > 0$ and $B < +\infty$ s.t.

$$\forall c \in \ell_2, \quad A \cdot \|c\|_{\ell_2} \leq \underbrace{\left\| \sum_{k \in \mathbb{Z}} c_k \varphi_k \right\|_H}_{\|f\|_H} \leq B \cdot \|c\|_{\ell_2}$$

Unique representation of a function $f \in V$: $f = \sum_{k \in \mathbb{Z}} c_k \varphi_k$

■ Properties

■ Linear independence

Consequence of lower Riesz bound: $f = 0 \Rightarrow c_k = 0$

■ Stability

Perturbation: $c + \Delta c \rightarrow f + \Delta f$

Consequence of upper Riesz bound: $\|\Delta c\|_{\ell_2}$ bounded $\Rightarrow \|\Delta f\|_H$ bounded

■ Norm equivalence

The basis is orthonormal iff. $A = B = 1$, in which case, $\|c\|_{\ell_2} = \|f\|_H$

1-11

Shift-invariant spaces

Integer-shift-invariant subspace associated with a generating function φ (e.g. B-spline):

$$V(\varphi) = \left\{ f(x) = \sum_{\mathbf{k} \in \mathbb{Z}^p} c[\mathbf{k}] \varphi(x - \mathbf{k}) : c \in \ell_2(\mathbb{Z}^p) \right\}$$

Generating function: $\varphi(x) \xleftrightarrow{\mathcal{F}} \hat{\varphi}(\omega) = \int_{\mathbf{x} \in \mathbb{R}^p} \varphi(x) e^{-j\langle \omega, x \rangle} dx_1 \cdots dx_p$

Proposition. $V(\varphi)$ is a subspace of $L_2(\mathbb{R}^p)$ with $\{\varphi(x - \mathbf{k})\}_{\mathbf{k} \in \mathbb{Z}^p}$ as its Riesz basis iff.

$$0 < A^2 \leq \sum_{\mathbf{n} \in \mathbb{Z}^p} |\hat{\varphi}(\omega + 2\pi\mathbf{n})|^2 \leq B^2 < +\infty \quad (\text{almost everywhere})$$

Hint for the proof (in 1D):

$$\|c\|_{\ell_2}^2 = \frac{1}{2\pi} \int_0^{2\pi} |C(e^{j\omega})|^2 d\omega \quad (\text{Parseval})$$

$$\begin{aligned} \|f\|_{L_2}^2 &= \frac{1}{2\pi} \int_{\omega \in \mathbb{R}} |C(e^{j\omega})|^2 |\hat{\varphi}(\omega)|^2 d\omega \\ &= \frac{1}{2\pi} \sum_{n \in \mathbb{Z}} \int_0^{2\pi} |C(e^{j\omega})|^2 |\hat{\varphi}(\omega + 2\pi n)|^2 d\omega = \frac{1}{2\pi} \int_0^{2\pi} |C(e^{j\omega})|^2 \sum_{n \in \mathbb{Z}} |\hat{\varphi}(\omega + 2\pi n)|^2 d\omega \end{aligned}$$

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INTERPOLATION REVISITED

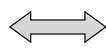
- Classical interpolation
- Generalized interpolation
- Interpolation: filtering solution
- Application

1-13

Classical image interpolation

Discrete image data

$$f[\mathbf{k}], \quad \mathbf{k} = (k_1, \dots, k_p) \in \mathbb{Z}^p$$



Continuous image model

$$f(\mathbf{x}), \quad \mathbf{x} = (x_1, \dots, x_p) \in \mathbb{R}^p$$

■ Interpolation formula:
$$f(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbb{Z}^p} f[\mathbf{k}] \varphi_{\text{int}}(\mathbf{x} - \mathbf{k})$$

- $f[\mathbf{k}]$: pixel values at location \mathbf{k}
- $\varphi_{\text{int}}(\mathbf{x})$: continuous-space interpolation function
- $\varphi_{\text{int}}(\mathbf{x} - \mathbf{k})$: interpolation function translated to location \mathbf{k}

■ Interpolation condition

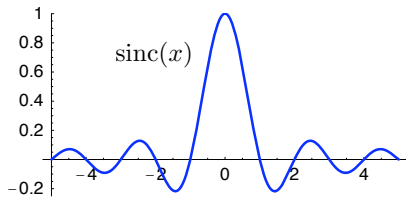
At the grid points $\mathbf{x} = \mathbf{k}_0$:
$$f(\mathbf{k}_0) = \sum_{\mathbf{k} \in \mathbb{Z}^p} f[\mathbf{k}] \varphi_{\text{int}}(\mathbf{k}_0 - \mathbf{k})$$

Only possible for all f iff.
$$\varphi_{\text{int}}(\mathbf{k}) = \begin{cases} 1, & \mathbf{k} = \mathbf{0} \\ 0, & \text{otherwise} \end{cases}$$

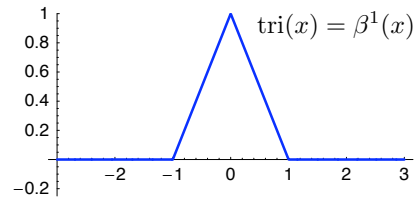
1-14

Examples of popular interpolation functions

■ Bandlimited



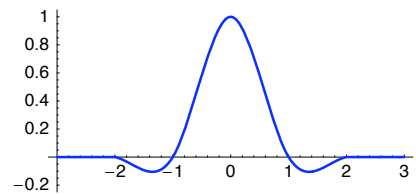
■ Piecewise linear



Interpolation condition:

$$\varphi_{\text{int}}(k) = \delta_k = \begin{cases} 1, & k = 0 \\ 0, & \text{otherwise} \end{cases}$$

■ Cubic convolution



[Keys, 1981; Karup-King 1899]

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Generalized image interpolation

■ Desired features for the interpolation kernel

- short (to minimize computations)
- simple expression (e.g., polynomial)
- smooth (to avoid model discontinuities)
- good approximation properties: reproduction of polynomials

■ Generalized interpolation formula: $f(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbb{Z}^p} c[\mathbf{k}] \varphi(\mathbf{x} - \mathbf{k})$

- Simple shift-invariant structure
- simple expression (e.g., polynomial)
- φ selected freely (not interpolating and much shorter)

➡ Faster interpolation formulas!

but one new difficulty:

How to pre-compute the coefficients $c[\mathbf{k}]$?

■ Separable basis functions: $\varphi(\mathbf{x}) = \varphi(x_1) \cdot \varphi(x_2) \cdots \varphi(x_p)$

➡ Further acceleration

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Interpolation: filtering solution

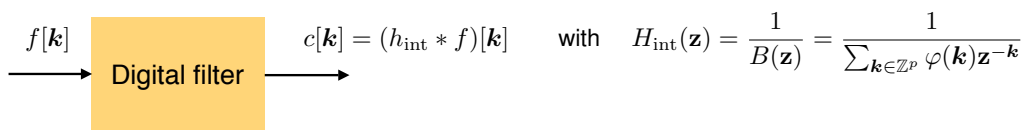
Interpolation problem: Given the samples $\{f[\mathbf{k}]\}$, find the (B-spline) expansion coefficients $\{c[\mathbf{k}]\}$

■ Interpolation condition: $f(\mathbf{x})|_{\mathbf{x}=\mathbf{k}} = f[\mathbf{k}] = \sum_{\mathbf{k}_1 \in \mathbb{Z}^p} c[\mathbf{k}_1] \varphi(\mathbf{k} - \mathbf{k}_1)$

⇒ Discrete convolution equation: $f[\mathbf{k}] = (b * c)[\mathbf{k}]$

with $b[\mathbf{k}] \triangleq \varphi(\mathbf{k}) \quad \xleftrightarrow{z} \quad B(\mathbf{z}) = \sum_{\mathbf{k} \in \mathbb{Z}^p} b[\mathbf{k}] \mathbf{z}^{-\mathbf{k}}$

■ Inverse filtering solution



Note: $\varphi(\mathbf{x})$ separable $\Rightarrow h_{\text{int}}[\mathbf{k}]$ separable

One-to-one continuous/discrete representation



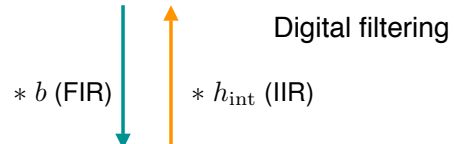
Continuously defined signal

$$f(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbb{Z}^p} c[\mathbf{k}] \varphi(\mathbf{x} - \mathbf{k})$$

B-spline coefficients

$$c[\mathbf{k}]$$

Riesz-basis property



Sampling: $f(\mathbf{x})|_{\mathbf{x}=\mathbf{k}}$

$$f[\mathbf{k}]$$



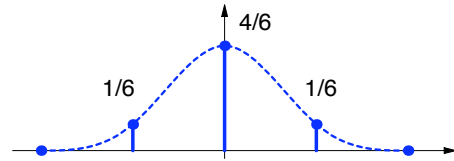
Discrete signal

In principle, all φ 's are equally acceptable, but...

Example: cubic-spline interpolation

- Cubic B-spline

$$\varphi(x) = \beta^3(x) = \begin{cases} \frac{2}{3} - \frac{1}{2}|x|^2(2 - |x|), & 0 \leq |x| < 1 \\ \frac{1}{6}(2 - |x|)^3, & 1 \leq |x| < 2 \\ 0, & \text{otherwise} \end{cases}$$



- Discrete B-spline kernel: $B(z) = \frac{z + 4 + z^{-1}}{6}$

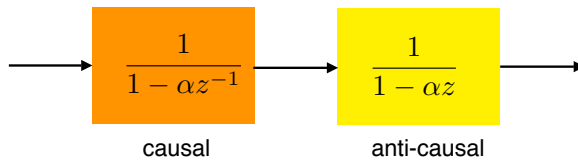
- Interpolation filter

$$\frac{6}{z + 4 + z^{-1}} = \frac{(1 - \alpha)^2}{(1 - \alpha z)(1 - \alpha z^{-1})} \xrightarrow{z} h_{\text{int}}[k] = \left(\frac{1 - \alpha}{1 + \alpha}\right) \alpha^{|k|}$$

(symmetric exponential)

$$\alpha = -2 + \sqrt{3} = -0.171573$$

➔ Cascade of first-order recursive filters



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Generic C-code (splines of any degree n)

- Main recursion

```
void ConvertToInterpolationCoefficients (
    double c[], long DataLength, double z[], long NbPoles, double Tolerance)
{double Lambda = 1.0; long n, k;
  if (DataLength == 1L) return;
  for (k = 0L; k < NbPoles; k++) Lambda = Lambda * (1.0 - z[k]) * (1.0 - 1.0 / z[k]);
  for (n = 0L; n < DataLength; n++) c[n] *= Lambda;
  for (k = 0L; k < NbPoles; k++) {
    c[0] = InitialCausalCoefficient(c, DataLength, z[k], Tolerance);
    for (n = 1L; n < DataLength; n++) c[n] += z[k] * c[n - 1L];
    c[DataLength - 1L] = (z[k] / (z[k] * z[k] - 1.0))
      * (z[k] * c[DataLength - 2L] + c[DataLength - 1L]);
    for (n = DataLength - 2L; 0 <= n; n--) c[n] = z[k] * (c[n + 1L] - c[n]);
  }
}
```

- Initialization

```
double InitialCausalCoefficient (
    double c[], long DataLength, double z, double Tolerance)
{ double Sum, zn, z2n, iz; long n, Horizon;
  Horizon = (long)ceil(log(Tolerance) / log(fabs(z)));
  if (DataLength < Horizon) Horizon = DataLength;
  zn = z; Sum = c[0];
  for (n = 1L; n < Horizon; n++) {Sum += zn * c[n]; zn *= z;}
  return(Sum);
}
```

1-20

Interpolating basis function

- Equivalent interpretation of generalized interpolation

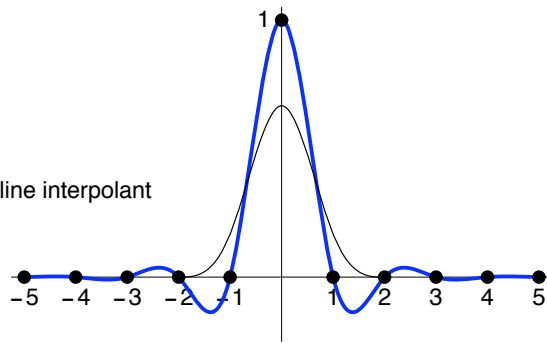
$$f(x) = \sum_{k \in \mathbb{Z}} c[k] \varphi(x - k) = \sum_{k \in \mathbb{Z}} (f[k] * h_{\text{int}}[k]) \varphi(x - k)$$

$$= \sum_{k \in \mathbb{Z}} f[k] \varphi_{\text{int}}(x - k)$$

- Interpolation basis function

$$\varphi_{\text{int}}(x) = \sum_{k \in \mathbb{Z}} h_{\text{int}}[k] \varphi(x - k)$$

Example: cubic-spline interpolant



Finite-cost implementation of an infinite impulse response interpolator !

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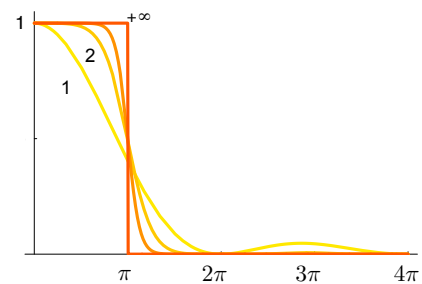
Limiting behavior (splines)

- Spline interpolator

Impulse response

Frequency response

$$\varphi_{\text{int}}^n(x) \xleftrightarrow{\mathcal{F}} \hat{\varphi}_{\text{int}}^n(\omega) = \left(\frac{\sin(\omega/2)}{\omega/2} \right)^{n+1} H_{\text{int}}^n(e^{j\omega})$$



- Asymptotic property

The cardinal spline interpolators converge to the sinc-interpolator (ideal filter) as the degree goes to infinity:

$$\lim_{n \rightarrow \infty} \varphi_{\text{int}}^n(x) = \text{sinc}(x), \quad \lim_{n \rightarrow \infty} \hat{\varphi}_{\text{int}}^n(\omega) = \text{rect}\left(\frac{\omega}{2\pi}\right) \quad (\text{in all } L_p\text{-norms})$$

(Aldroubi et al., *Sig. Proc.*, 1992)



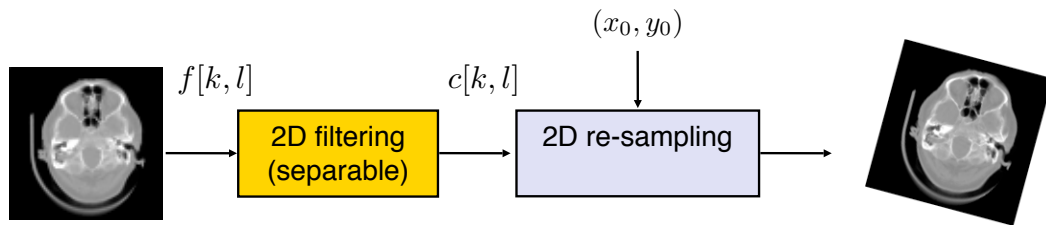
Includes Shannon's theory as a particular case !

1-22

Geometric transformation of images

- 2D separable model

$$f(x_0, y_0) = \sum_{k=k_0(x_0)}^{k_0+n+1} \sum_{l=l_0(y_0)}^{l_0+n+1} c[k, l] \varphi(x_0 - l) \varphi(y_0 - l)$$

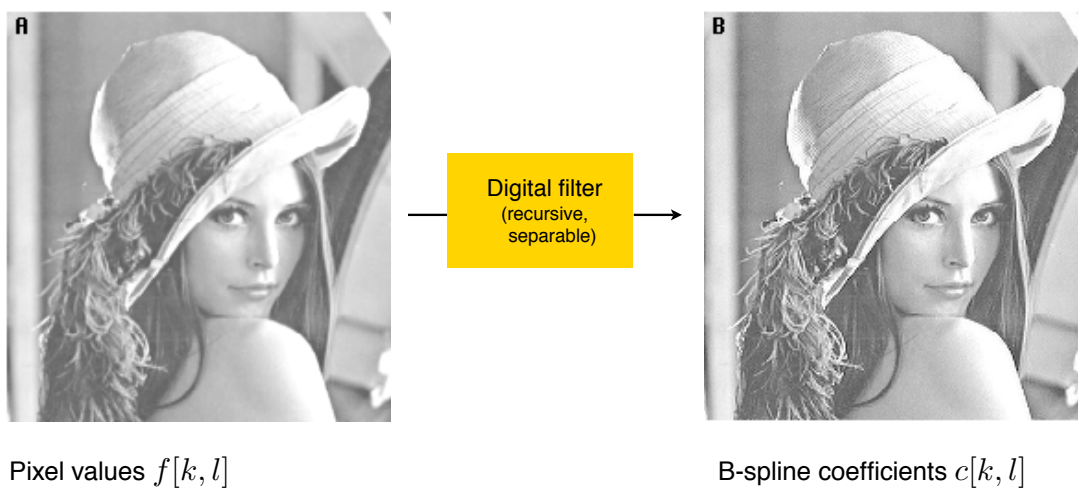


- Applications

zooming, rotation, re-sizing, re-formatting, warping

1-23

Cubic-spline coefficients in 2D



1-24

Interpolation benchmark

Cumulative rotation experiment: the best algorithm wins !



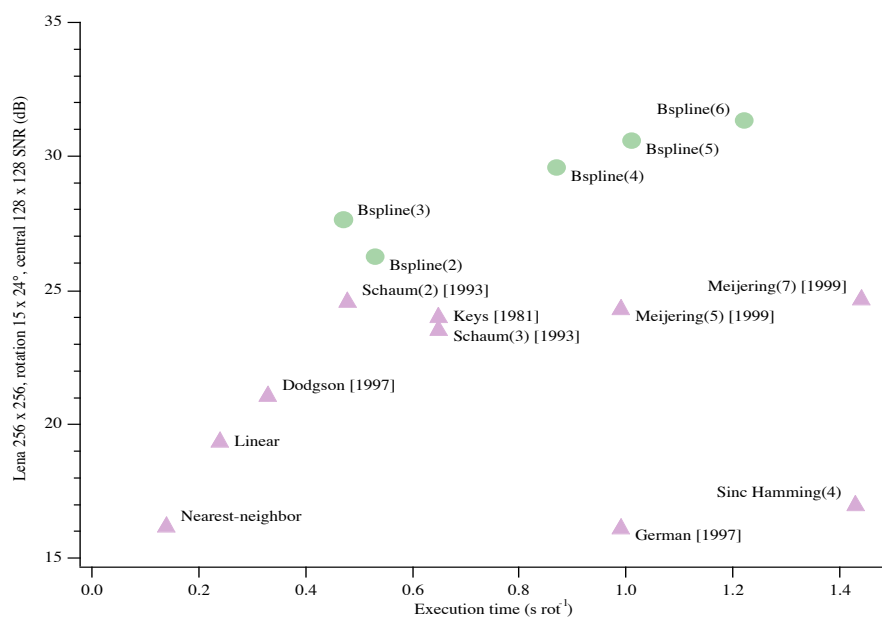
Bilinear

Windowed-sinc

Cubic spline

1-25

High-quality image interpolation



Demo

Thévenaz et al., *Handbook of Medical Image Processing*, 2000

1-26

MINIMUM-ERROR SIGNAL APPROXIMATION

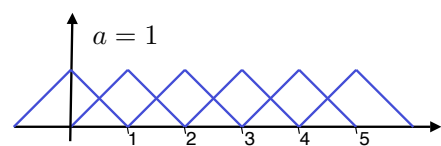
- Least-squares approximation
 - Orthogonal projection
- Image pyramids

1-27

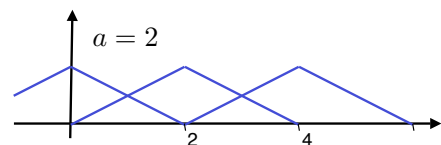
Least-squares fit: multi-scale approximation

- Shift-invariant space at scale a

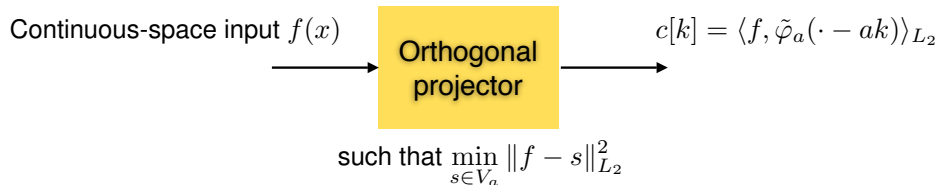
$$V_a(\varphi) = \left\{ s(x) = \sum_{k \in \mathbb{Z}} c[k] \varphi_a(x - ak) : c[k] \in \ell_2 \right\}$$



- Rescaled basis function: $\varphi_a(x) \triangleq a^{-1/2} \varphi\left(\frac{x}{a}\right)$



- Minimum-error approximation at scale a



Biorthogonality condition: $\tilde{\varphi}_a \in V_a(\varphi)$ such that $\langle \varphi_a(\cdot), \tilde{\varphi}_a(\cdot - ak) \rangle_{L_2} = \delta_k$

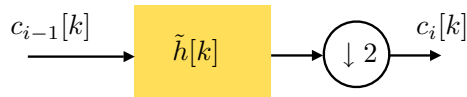
Image pyramids

- Successive approximations at dyadic scales

$$V_{2^i}(\varphi) = \left\{ s(x) = \sum_{k \in \mathbb{Z}} c_i[k] \varphi_{2^i}(x - 2^i k) : c_i[k] \in \ell_2 \right\}$$

Rescaled basis function: $\varphi_{2^i}(x) \triangleq 2^{-i/2} \varphi\left(\frac{x}{2^i}\right)$

- Repeated application of REDUCE operator



- Optimal prefilter

$$c_1[k] = \left\langle \sum_{l \in \mathbb{Z}} c_0[l] \varphi(\cdot - l), \tilde{\varphi}_2(\cdot - 2k) \right\rangle = (c_0 * \tilde{h})[2k]$$

$$\Rightarrow \tilde{h}[k] = \langle \varphi(\cdot), \tilde{\varphi}_2(\cdot + k) \rangle$$

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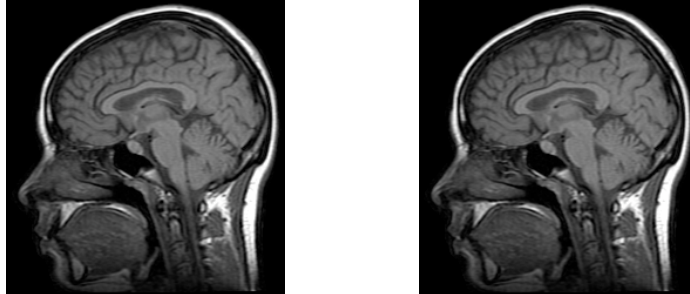
SPLINES: IMAGING APPLICATIONS

- Sampling and interpolation
 - Interpolation, re-sampling, grid conversion
 - Image reconstruction
 - Geometric correction
- Feature extraction
 - Contours, ridges
 - Differential geometry
 - Shape and active contour models
- Image matching
 - Stereo
 - Image registration (multimodal, rigid-body or elastic)
 - Optical flow

1-30

Spline approximation: LS resizing

Approximation at arbitrary scales: differential approach using splines



Orthogonal projection onto V_a (cubic spline)

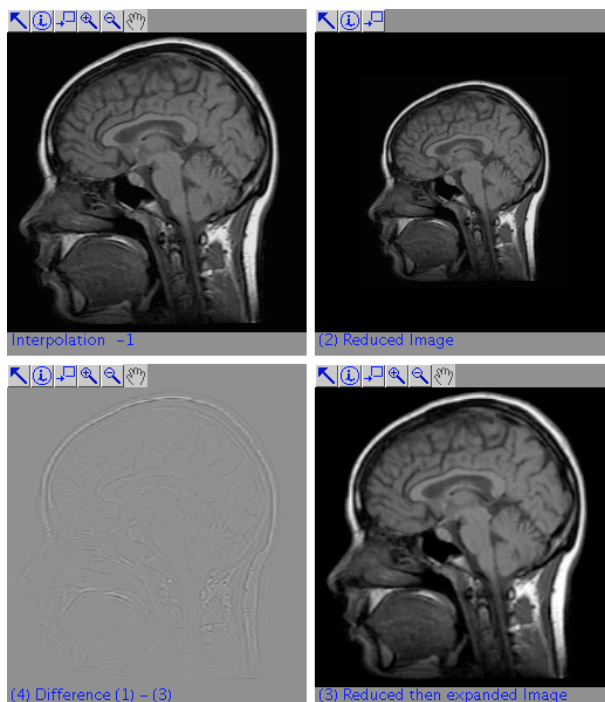
$$a = 1 \rightarrow 10$$

1-31

Application: image resizing

■ Resizing algorithm

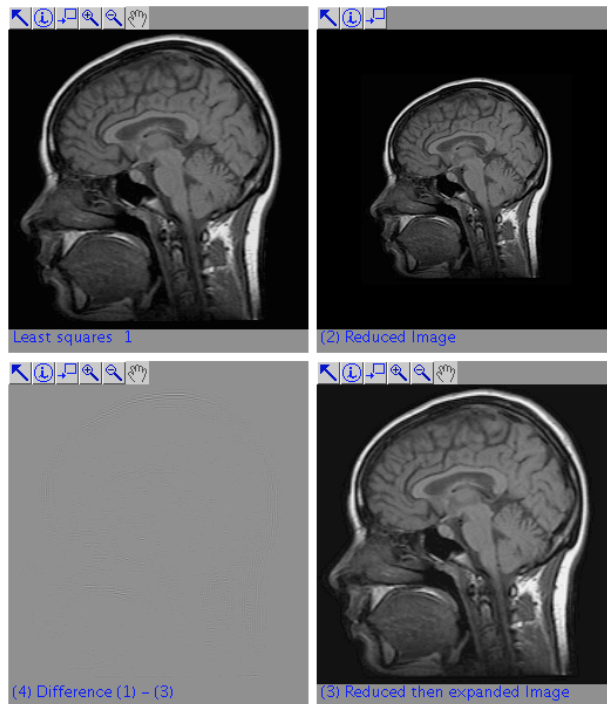
- Interpolation
- Linear splines
- scaling= 70%



SNR=22.94 dB

Application: image resizing (LS)

- Resizing algorithm
 - Orthogonal projector
 - Linear splines
 - scaling= 70%



SNR=28.359 dB

+ 5.419 dB

(Munoz et al., *IEEE Trans. Imag. Proc.*, 2001)

B-spline derivatives

- Derivative operator

$$Df(x) = \frac{df(x)}{dx} \quad \xleftrightarrow{\mathcal{F}} \quad (j\omega) \times \hat{f}(\omega)$$

- Finite-difference operator (centered)

$$\Delta f(x) \triangleq f(x + \frac{1}{2}) - f(x - \frac{1}{2}) \quad \xleftrightarrow{\mathcal{F}} \quad (e^{j\omega/2} - e^{-j\omega/2}) \times \hat{f}(\omega)$$

- Derivative of a B-spline (exact)

$$D^m \beta^n(x) = \Delta^m \beta^{n-m}(x)$$

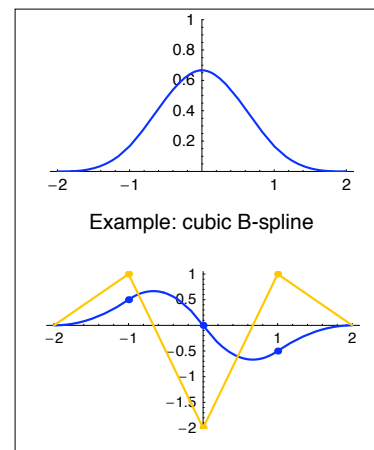
Discrete operator

Reduction of degree

Sketch of proof:

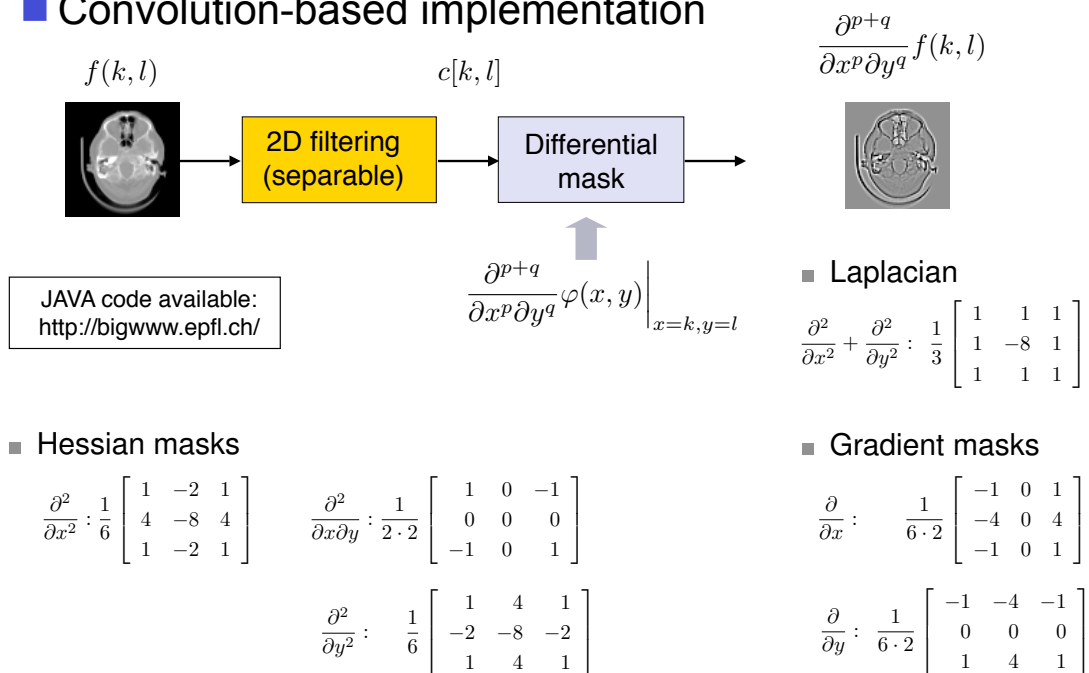
$$\hat{\beta}^n(\omega) = \text{sinc}\left(\frac{\omega}{2\pi}\right)^{n+1} = \left(\frac{e^{j\omega/2} - e^{-j\omega/2}}{j\omega}\right)^{n+1}$$

$$\Rightarrow (j\omega)^m \times \hat{\beta}^n(\omega) = (e^{j\omega/2} - e^{-j\omega/2})^m \times \left(\frac{e^{j\omega/2} - e^{-j\omega/2}}{j\omega}\right)^{n+1-m}$$



Cubic-spline image differentials

Convolution-based implementation

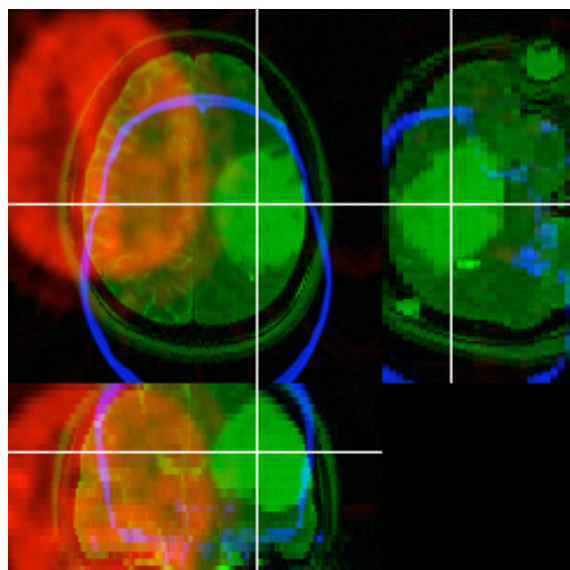


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Multi-modal image registration

Specificities of the approach

- Criterion: mutual-information
- Cubic-spline model
 - high quality
 - sub-pixel accuracy
- Multiresolution strategy
- Marquardt-Levenberg-like optimizer
 - Speed
 - Robustness



Thévenaz and Unser, *IEEE Trans. Imag Proc.*, 2000

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CONCLUSION

- Generalized interpolation
 - Same as standard interpolation, except for a **prefiltering** step
 - Offers more flexibility
 - Best cost/performance tradeoff (splines)
 - Infinite-support interpolator at finite cost
- Special case of polynomial splines
 - Simple to manipulate
 - Smooth and well-behaved
 - Excellent approximation properties
 - Multiresolution properties
- Unifying formulation for continuous/discrete image processing
 - Tools: digital filters, convolution operators
 - Efficient recursive filtering solutions
 - Flexibility: piecewise-constant to bandlimited

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Splines: the end of the tunnel

- Survey article on interpolation, *IEEE TMI*, 2000
Comparison of 31 interpolation algorithms:
“It [the cubic B-spline interpolator] produces one of the best results in terms of similarity to the original images, and of the top methods, it runs fastest.”
- Addendum on spline interpolation, *IEEE TMI*, 2001
“Therefore, high-degree B-splines are preferable interpolators for numerous applications in medical imaging, particularly if high precision is required.”
- Recent evaluation of interpolation, *Med. Image Anal.*, 2001
Comparison of 126 interpolation algorithms:
“The results show that spline interpolation is to be preferred over all other methods, both for its accuracy and its relatively low cost.”

(Lehmann et al)

(Meijering et al)

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