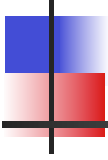




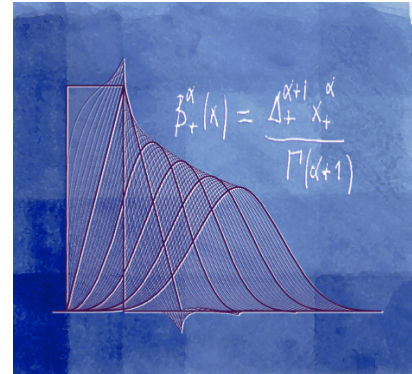
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## Computational bioimaging

### How to further reduce exposure and/or increase image quality



Michael Unser  
Biomedical Imaging Group  
EPFL, Lausanne, Switzerland



Plenary talk, Int. Conf. of the IEEE EMBS (EMBC'17), July 11-15, 2017, Jeju Island, Korea.



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# OUTLINE

- From classical to modern image reconstruction
  - The sparsity (r)evolution  $\Rightarrow$  Compressed Sensing
- **Sparsity revisited**: the spline connection
- **Non-Gaussian** statistical modeling
  - Sparse stochastic processes
- **Iterative image reconstruction (MAP formulation)**



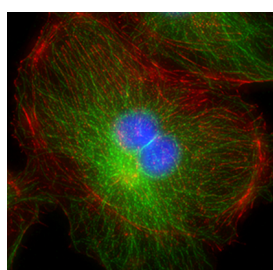
Examples of biomedical image reconstruction  
*Deconvolution microscopy*  
*Computed tomography*

- **Learning**: Emergence of 3rd generation methods
  - SplineProx, FBPCovNet

## Inverse problems in bio-imaging

- Linear forward model

$$y = \mathbf{H}\mathbf{s} + \mathbf{n}$$



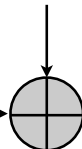
$\mathbf{s}$



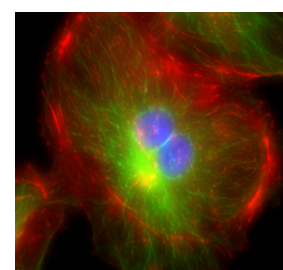
Integral operator



noise



$\mathbf{n}$



Problem: recover  $\mathbf{s}$  from noisy measurements  $\mathbf{y}$

- The easy scenario

Hypotheses:  $\mathbf{H}$  is well-posed

$$\Rightarrow \mathbf{s} \approx (\mathbf{H}^T \mathbf{H})^{-1} \mathbf{H}^T \mathbf{y}$$

- Backprojection (

### Basic limitations

- 1) Inherent noise amplification
- 2) Difficulty to invert  $\mathbf{H}$  (too large or non-square)
- 3) All interesting inverse problems are **ill-posed**

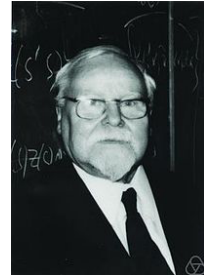
# Linear inverse problems (20th century theory)

## ■ Dealing with ill-posed problems: Tikhonov regularization

$\mathcal{R}(s) = \|\mathbf{L}s\|_2^2$ : regularization (or smoothness) functional

$\mathbf{L}$ : regularization operator (i.e., Gradient)

$$\min_s \mathcal{R}(s) \quad \text{subject to} \quad \|\mathbf{y} - \mathbf{H}s\|_2^2 \leq \sigma^2$$



Andrey N. Tikhonov (1906-1993)

## ■ Equivalent variational problem

$$s^* = \arg \min \underbrace{\|\mathbf{y} - \mathbf{H}s\|_2^2}_{\text{data consistency}} + \underbrace{\lambda \|\mathbf{L}s\|_2^2}_{\text{regularization}}$$

Formal linear solution:  $s = (\mathbf{H}^T \mathbf{H} + \lambda \mathbf{L}^T \mathbf{L})^{-1} \mathbf{H}^T \mathbf{y} = \mathbf{R}_\lambda \cdot \mathbf{y}$

Interpretation: “**filtered**” backprojection

# Statistical formulation (20th century)

## ■ Linear measurement model: $\mathbf{y} = \mathbf{H}s + \mathbf{n}$

$\mathbf{n}$ : additive white Gaussian noise (i. i. d.)

$s$ : realization of Gaussian process with zero-mean and covariance matrix  $\mathbb{E}\{s \cdot s^T\} = \mathbf{C}_s$



Norbert Wiener (1894-1964)

## ■ Wiener (LMMSE) solution = Gauss MMSE = Gauss MAP

$$s_{\text{MAP}} = \arg \min_s \underbrace{\frac{1}{\sigma^2} \|\mathbf{y} - \mathbf{H}s\|_2^2}_{\text{Data Log likelihood}} + \underbrace{\|\mathbf{C}_s^{-1/2} s\|_2^2}_{\text{Gaussian prior likelihood}}$$

$\Updownarrow \quad \mathbf{L} = \mathbf{C}_s^{-1/2}$ : Whitening filter

## ■ Quadratic regularization (Tikhonov)

$$s_{\text{Tik}} = \arg \min_s (\|\mathbf{y} - \mathbf{H}s\|_2^2 + \lambda \mathcal{R}(s)) \quad \text{with} \quad \mathcal{R}(s) = \|\mathbf{L}s\|_2^2$$

**Linear solution**:  $s = (\mathbf{H}^T \mathbf{H} + \lambda \mathbf{L}^T \mathbf{L})^{-1} \mathbf{H}^T \mathbf{y} = \mathbf{R}_\lambda \cdot \mathbf{y}$

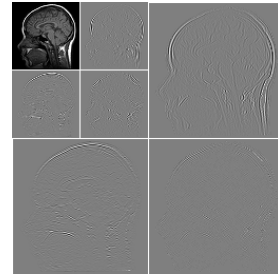
# Linear inverse problems: The sparsity (r)evolution

(20th Century)  $p = 2 \rightarrow 1$  (21st Century)

$$\mathbf{s}_{\text{rec}} = \arg \min_{\mathbf{s}} (\|\mathbf{y} - \mathbf{H}\mathbf{s}\|_2^2 + \lambda \mathcal{R}(\mathbf{s}))$$

## ■ Non-quadratic regularization regularization

$$\mathcal{R}(\mathbf{s}) = \|\mathbf{L}\mathbf{s}\|_{\ell_2}^2 \rightarrow \|\mathbf{L}\mathbf{s}\|_{\ell_p}^p \rightarrow \|\mathbf{L}\mathbf{s}\|_{\ell_1}$$



## ■ Total variation (Rudin-Osher, 1992)

$$\mathcal{R}(\mathbf{s}) = \|\mathbf{L}\mathbf{s}\|_{\ell_1} \text{ with } \mathbf{L}: \text{gradient}$$

## ■ Wavelet-domain regularization (Figuereido et al., Daubechies et al. 2004)

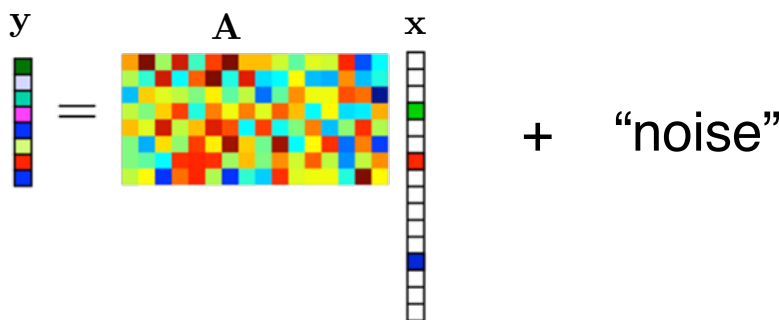
$\mathbf{v} = \mathbf{W}^{-1}\mathbf{s}$ : wavelet expansion of  $\mathbf{s}$  (typically, sparse)

$$\mathcal{R}(\mathbf{s}) = \|\mathbf{v}\|_{\ell_1}$$

## ■ Compressed sensing/sampling (Candes-Romberg-Tao; Donoho, 2006)

7

# Compressive sensing (CS) and $l_1$ minimization



[Donoho et al., 2005  
Candès-Tao, 2006, ...]



Sparse representation of signal:  $\mathbf{s} = \mathbf{W}\mathbf{x}$  with  $\|\mathbf{x}\|_0 = K \ll N_x$

Equivalent  $N_y \times N_x$  sensing matrix:  $\mathbf{A} = \mathbf{H}\mathbf{W}$

Occam's razor:  $\mathbf{x}_{\text{sparse}} = \min_{\mathbf{x}} \|\mathbf{x}\|_0$  subject to  $\|\mathbf{y} - \mathbf{A}\mathbf{x}\|_2^2 \leq \sigma^2$

## ■ Relaxed (convex) formulation of recovery problem

$$\min_{\mathbf{x}} \|\mathbf{x}\|_1 \text{ subject to } \|\mathbf{y} - \mathbf{A}\mathbf{x}\|_2^2 \leq \sigma^2$$

8



## CS: Three fundamental ingredients

(Donoho, *IEEE T. Inf. Theo.* 2006)

(Candès-Romberg, *Inv. Prob.* 2007)

### 1. Existence of sparsifying transform (**W** or **L**)

- Wavelet basis
- Dictionary
- Differential operator (Gradient)

### 2. Incoherence of sensing matrix **A**

- Restricted isometry; few linearly dependent columns (spark)
- Quasi-random and delocalized structure:  
*Gaussian matrix with i.i.d. entries,*  
*random sampling in Fourier domain*

### 3. Non-linear signal recovery ( $l_1$ minimization)

9

## CS: Examples of applications in imaging

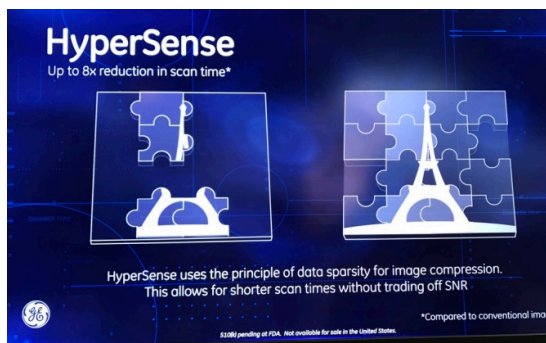
- Magnetic resonance imaging (MRI)      (Lustig, *Mag. Res. Im.* 2007)
- Radio Interferometry      (Wiaux, *Notic. R. Astro.* 2007)
- Terahertz Imaging      (Chan, *Appl. Phys.* 2008)
- Digital holography      (Brady, *Opt. Express* 2009; Marim 2010)
- Spectral-domain OCT      (Liu, *Opt. Express* 2010)
- Coded-aperture spectral imaging      (Arce, *IEEE Sig. Proc.* 2014)
- Localization microscopy      (Zhu, *Nat. Meth.* 2012)
- Ultrafast photography      (Gao, *Nature* 2014)

10

# Ten years after: Impact in clinical imaging

Commercial implementation of **sparsity-based** iterative reconstruction algorithms

- Siemens: Compressed Sensing Cardiac Cine  
(FDA approval, Jan 2017)
- GE: HyperSense  
(FDA approval, April 2017)



## Understanding sparsity:

### The spline connection

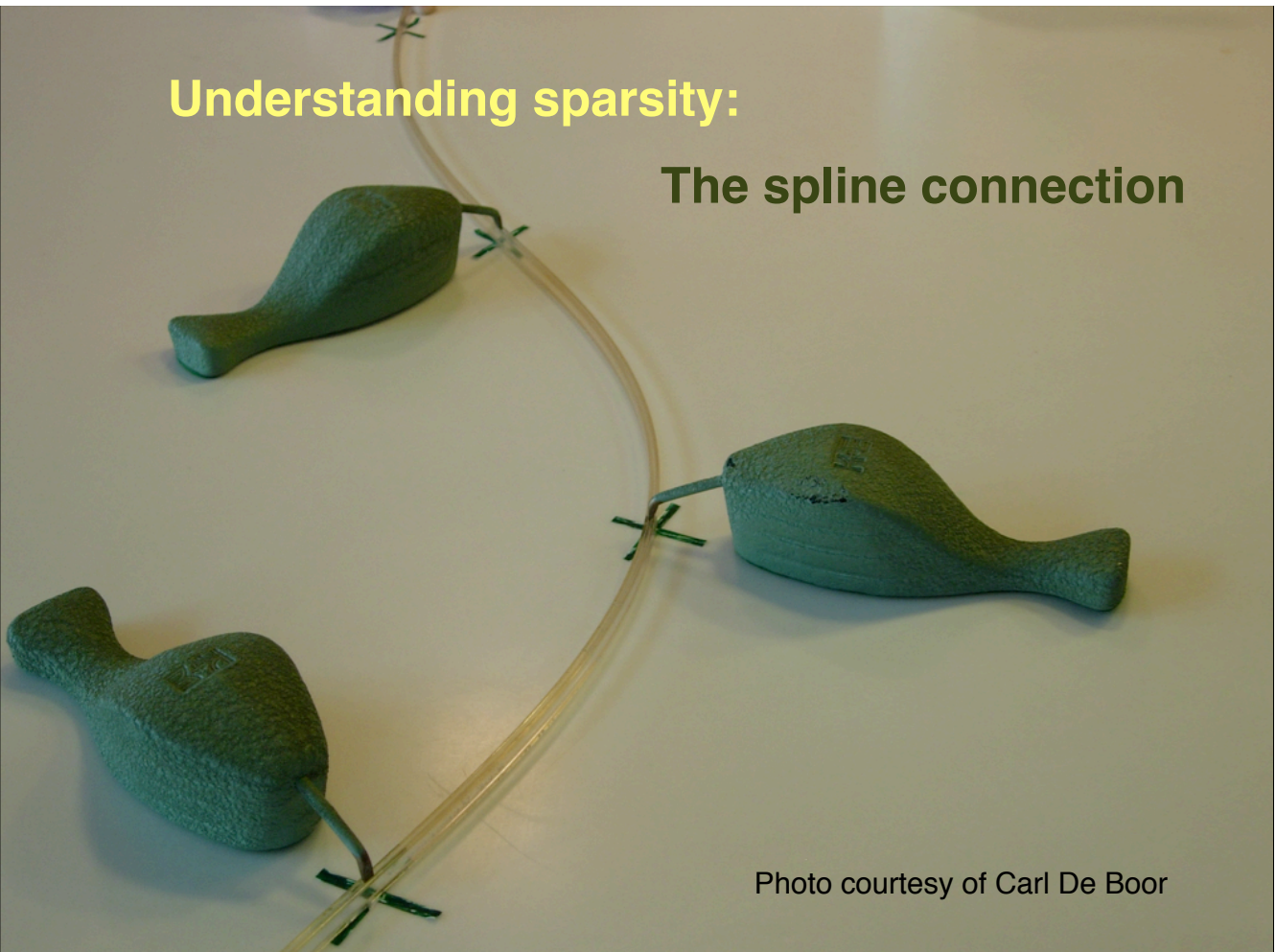


Photo courtesy of Carl De Boor

# Splines are analog and intrinsically sparse

$L\{\cdot\}$ : admissible differential operator

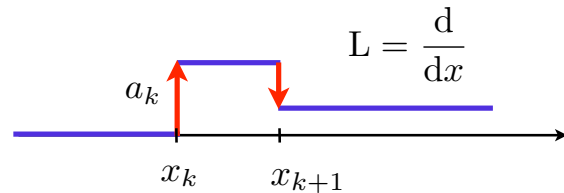
$\delta(\cdot - x_0)$ : Dirac impulse shifted by  $x_0 \in \mathbb{R}^d$

## Definition

The function  $s : \mathbb{R}^d \rightarrow \mathbb{R}$  is a (non-uniform) L-spline with knots  $(x_k)_{k=1}^K$  if

$$L\{s\} = \sum_{k=1}^K a_k \delta(\cdot - x_k) = w_\delta \quad : \text{ spline's innovation}$$

Spline theory: (Schultz-Varga, 1967)



■ FRI signal processing: **Innovation** variables ( $2K$ ) (Vetterli et al., 2002)

- Location of singularities (knots):  $\{x_k\}_{k=1}^K$
- Strength of singularities (linear weights):  $\{a_k\}_{k=1}^K$

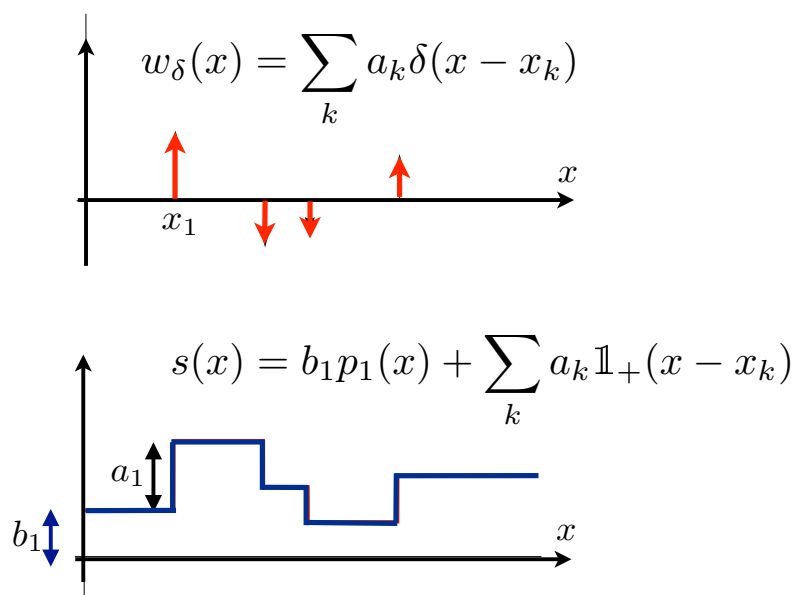


13

## Spline synthesis: example

$L = D = \frac{d}{dx}$       Null space:  $\mathcal{N}_D = \text{span}\{p_1\}$ ,  $p_1(x) = 1$

$\rho_D(x) = D^{-1}\{\delta\}(x) = \mathbb{1}_+(x)$ : Heaviside function



14

## Spline synthesis: generalization

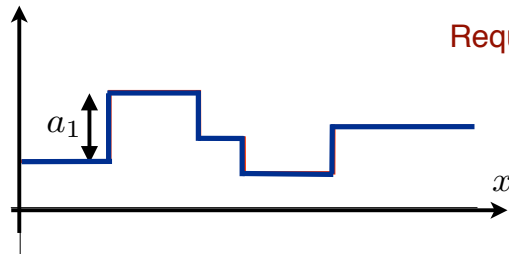
L: spline admissible operator (LSI)

$$\rho_L(\mathbf{x}) = L^{-1}\{\delta\}: \text{Green's function of } L$$

$$\text{Finite-dimensional null space: } \mathcal{N}_L = \text{span}\{p_n\}_{n=1}^{N_0}$$

$$\text{Spline's innovation: } w_\delta(\mathbf{x}) = \sum_k a_k \delta(\mathbf{x} - \mathbf{x}_k)$$

$$\Rightarrow s(\mathbf{x}) = \sum_k a_k \rho_L(\mathbf{x} - \mathbf{x}_k) + \sum_{n=1}^{N_0} b_n p_n(\mathbf{x})$$



Requires specification of boundary conditions

15

## New optimality result: universality of splines

L: spline-admissible operator

$$\mathcal{M}_L(\mathbb{R}^d) = \{f : \text{gTV}(f) = \|L\{f\}\|_{\mathcal{M}} = \sup_{\|\varphi\|_\infty \leq 1} \langle L\{f\}, \varphi \rangle < \infty\}$$

**Generalized total variation** :  $\text{gTV}(f) = \|L\{f\}\|_{L_1}$  when  $L\{f\} \in L_1(\mathbb{R}^d)$

**Linear measurement operator**  $\mathcal{M}_L(\mathbb{R}) \rightarrow \mathbb{R}^M : f \mapsto \mathbf{z} = \mathbf{H}\{f\}$

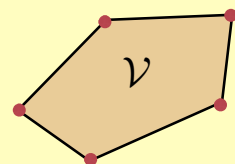
**Theorem:** The extremal points of the **generic linear-inverse** problem

$$\mathcal{V} = \arg \min_{f \in \mathcal{M}_L(\mathbb{R}^d)} (\|\mathbf{y} - \mathbf{H}\{f\}\|_2^2 + \lambda \|L\{f\}\|_{\mathcal{M}})$$

are **non-uniform L-splines** of the form

$$f_{\text{sparse}}(\mathbf{x}) = \sum_{k=1}^{K_{\text{knots}}} a_k \rho_L(\mathbf{x} - \mathbf{x}_k) + \sum_{n=1}^{N_0} b_n p_n(\mathbf{x})$$

with  $K_{\text{knots}} \leq M - N_0$  and  $\|L\{f_{\text{sparse}}\}\|_{\mathcal{M}} = \|\mathbf{a}\|_{\ell_1}$ .



(U.-Fageot-Ward, ArXiv 2016; SIAM Review in press)

16

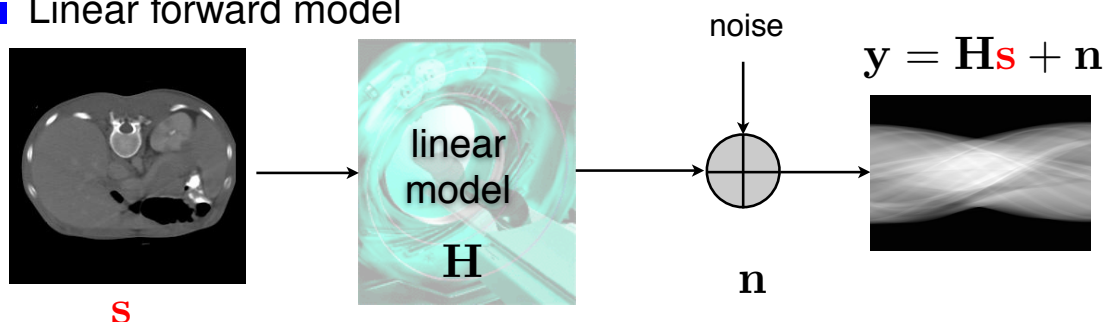
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  - Sparse stochastic processes
- **Iterative image reconstruction** (MAP formulation)
- **Learning**: Emergence of 3rd generation methods

17

## Variational-MAP formulation of inverse problem

- Linear forward model



- Reconstruction as an optimization problem

$$s_{\text{rec}} = \arg \min \underbrace{\|y - Hs\|_2^2}_{\text{data consistency}} + \underbrace{\lambda \|Ls\|_p^p}_{\text{regularization}}, \quad p = 1, 2$$

–  $\log \text{Prob}(s)$  : prior likelihood

18



# An introduction to sparse stochastic processes

19

## Random spline: archetype of sparse signal

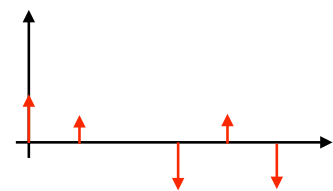
Random weights  $\{a_n\}$  i.i.d. and random knots  $\{t_n\}$  (Poisson with rate  $\lambda$ )

### ■ Stochastic differential equation

$$Ds(t) = w(t)$$

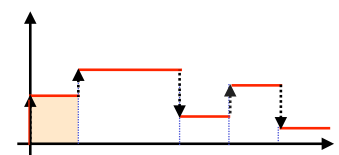
with boundary condition  $s(0) = 0$

**Innovation:**  $w(t) = \sum_n a_n \delta(t - t_n)$



### ■ Formal solution = Compound Poisson process

$$\begin{aligned} s(t) &= D^{-1}w(t) = \sum_n a_n D^{-1}\{\delta(\cdot - t_n)\}(t) \\ &= b_1 + \sum_n a_n \mathbb{1}_+(t - t_n) \end{aligned}$$

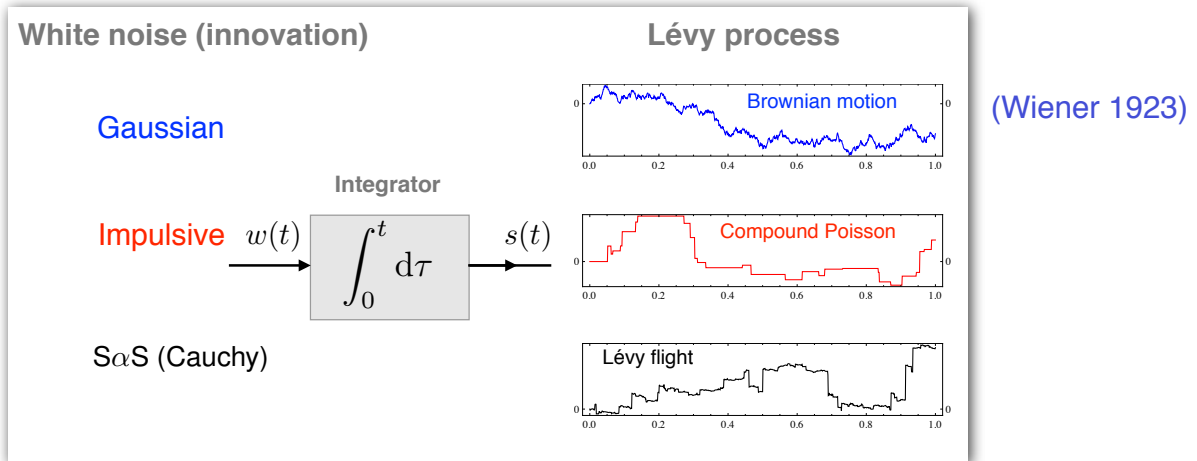


20

# Lévy processes: all admissible brands of innovations

Generalized innovations : white Lévy noise with  $\mathbb{E}\{w(t)w(t')\} = \sigma_w^2 \delta(t - t')$

$$Ds = w \quad (\text{perfect decoupling!})$$

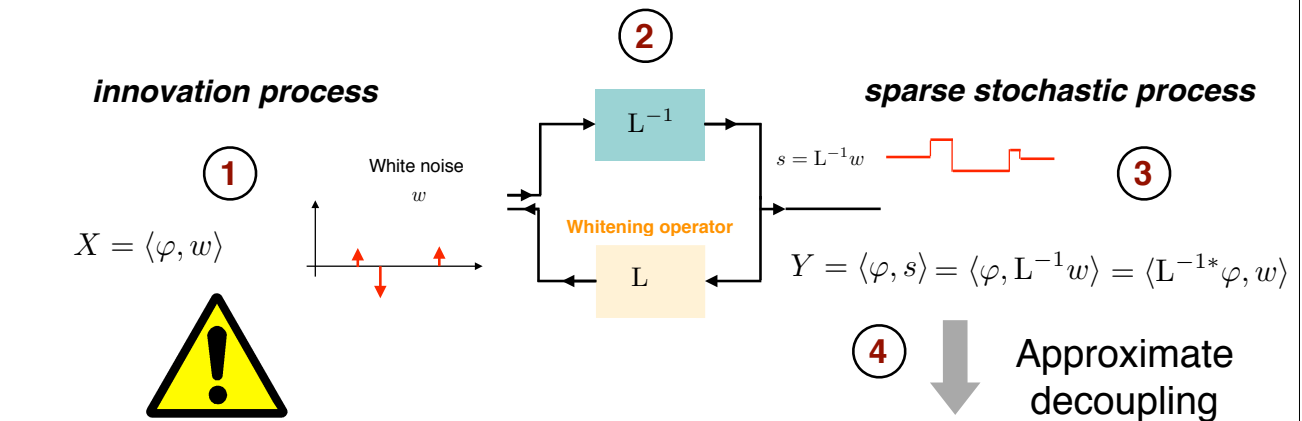


## Generalized innovation model

Theoretical framework: Gelfand's theory of generalized stochastic processes

Generic test function  $\varphi \in \mathcal{S}$  plays the role of index variable

Solution of SDE (general operator)  $LS = w$



Proper definition of **continuous-domain** white noise

(Unser et al, *IEEE-IT* 2014)

**Regularization operator** vs. wavelet analysis

**Main feature: inherent sparsity**  
(few significant coefficients)

## Description of sparse stochastic process

- Specification of spatial dependencies

Whitening operator  $L \Rightarrow s = L^{-1}w$

- Specification of innovation (sparsity behavior)

Canonical observation through a rectangular window

$$X_{id} = \langle w, \text{rect} \rangle = \langle \text{[blue noise waveform]}, \text{[rect window]} \rangle$$

$w = \text{white noise} \Rightarrow X_{id} = \langle w, \text{rect} \rangle$  is **infinitely divisible**  
with **canonical Lévy exponent**  $f(\omega) = \log \mathbb{E}\{e^{j\omega X_{id}}\}$ .

**Definition:** A random variable  $X$  with generic pdf  $p_{id}(x)$  is **infinitely divisible (id)** iff., for any  $N \in \mathbb{Z}^+$ , there exist i.i.d. random variables  $X_1, \dots, X_N$  such that  $X \stackrel{d}{=} X_1 + \dots + X_N$ .

$$\begin{aligned} X = \langle w, \text{rect} \rangle &= \langle \text{[blue noise waveform]}, \text{[rect window]} \rangle \\ &= \langle \text{[blue noise waveform]}, \text{[rect window]} \rangle + \dots + \langle \text{[blue noise waveform]}, \text{[rect window]} \rangle \end{aligned}$$

i.i.d.

23

## ☒ Probability laws of sparse processes are id

- Analysis: go back to **innovation process**:  $w = Ls$

- Generic random observation:  $X = \langle \varphi, w \rangle$  with  $\varphi \in \mathcal{S}(\mathbb{R}^d)$  or  $\varphi \in L_p(\mathbb{R}^d)$  (by extension)

- Linear functional:  $Y = \langle \psi, s \rangle = \langle \psi, L^{-1}w \rangle = \langle L^{-1*}\psi, w \rangle$

If  $\phi = L^{-1*}\psi \in L_p(\mathbb{R}^d)$  then  $Y = \langle \psi, s \rangle = \langle \phi, w \rangle$  is **infinitely divisible**  
with (modified) Lévy exponent  $f_\phi(\omega) = \int_{\mathbb{R}^d} f(\omega\phi(x))dx$

$$\Rightarrow p_Y(y) = \mathcal{F}^{-1}\{e^{f_\phi(\omega)}\}(y) = \int_{\mathbb{R}} e^{f_\phi(\omega) - j\omega y} \frac{d\omega}{2\pi}$$

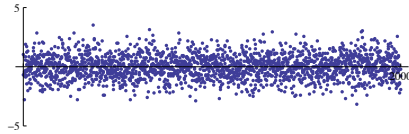
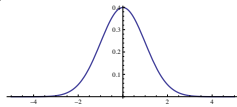


= explicit form of pdf

# Examples of infinitely divisible laws

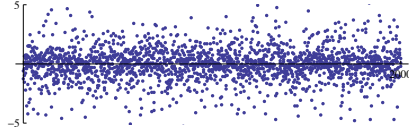
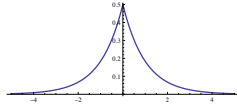
$$p_{id}(x)$$

(a) Gaussian



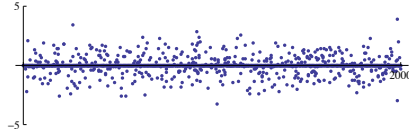
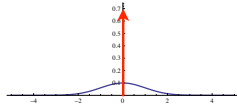
$$p_{\text{Gauss}}(x) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{x^2}{2\sigma^2}}$$

(b) Laplace



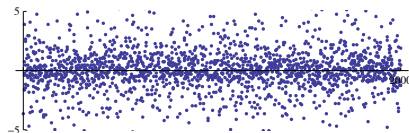
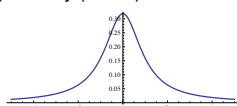
$$p_{\text{Laplace}}(x) = \frac{\lambda}{2} e^{-\lambda|x|}$$

(c) Compound Poisson



$$p_{\text{Poisson}}(x) = \mathcal{F}^{-1}\{e^{\lambda(\hat{p}_A(\omega)-1)}\}$$

(d) Cauchy (stable)



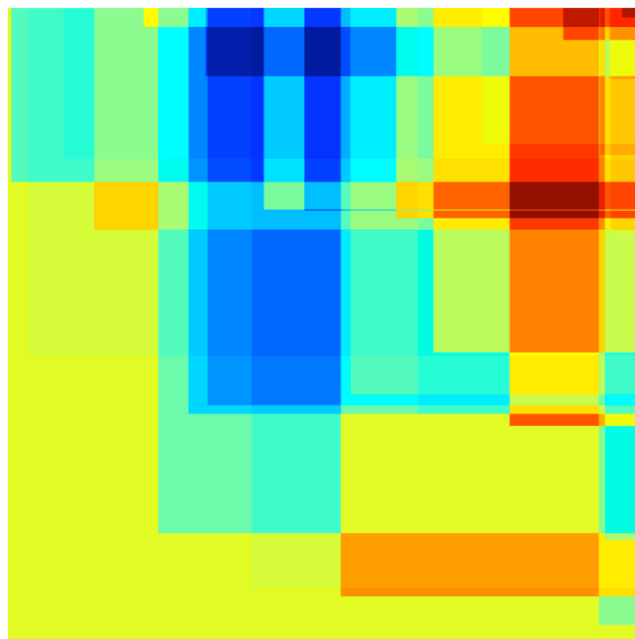
$$p_{\text{Cauchy}}(x) = \frac{1}{\pi(x^2 + 1)}$$

Sparsier

$$\text{Characteristic function: } \hat{p}_{id}(\omega) = \int_{\mathbb{R}} p_{id}(x) e^{j\omega x} dx = e^{f(\omega)}$$

# Aesthetic sparse signal: the Mondrian process

$$L = D_x D_y \xleftrightarrow{\mathcal{F}} (j\omega_x)(j\omega_y)$$



$$\lambda = 30$$

## High-level properties of SSP

- **Infinite divisible probability laws:** broadest class of distributions preserved through linear transformation.
- **Explicit calculations:** Analytical determination of transform-domain statistics (including, joint pdfs).
- **Unifying framework:** includes all traditional families of stochastic processes (ARMA, fBm), as well as their non-Gaussian generalizations.
- **Sparsifying transforms / ICA:** SSP are (approximately) decoupled in a matched operator-like wavelet basis. (Pad-U., *IEEE-SP 2015*)
- **$N$ -term approximation properties:** SSP are truly “sparse” as described by their inclusion in (weighted) Besov spaces. (Fageot et al., *ACHA 2015*)

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## Discretization of reconstruction problem

Spline-like reconstruction model:  $s(\mathbf{r}) = \sum_{\mathbf{k} \in \Omega} s[\mathbf{k}] \beta_{\mathbf{k}}(\mathbf{r}) \longleftrightarrow \mathbf{s} = (s[\mathbf{k}])_{\mathbf{k} \in \Omega}$

### ■ Innovation model

$$\mathbf{L}s = w$$

$$s = \mathbf{L}^{-1}w$$

Discretization

$$\mathbf{u} = \mathbf{L}s \quad (\text{matrix notation})$$

$p_U$  is part of **infinitely divisible** family

### ■ Physical model: image formation and acquisition

$$y_m = \int_{\mathbb{R}^d} s_1(\mathbf{x}) \eta_m(\mathbf{x}) d\mathbf{x} + n[m] = \langle s_1, \eta_m \rangle + n[m], \quad (m = 1, \dots, M)$$

$$\mathbf{y} = \mathbf{y}_0 + \mathbf{n} = \mathbf{H}\mathbf{s} + \mathbf{n}$$

$\mathbf{n}$ : i.i.d. noise with pdf  $p_N$

$$[\mathbf{H}]_{m,\mathbf{k}} = \langle \eta_m, \beta_{\mathbf{k}} \rangle = \int_{\mathbb{R}^d} \eta_m(\mathbf{r}) \beta_{\mathbf{k}}(\mathbf{r}) d\mathbf{r}: \quad (M \times K) \text{ system matrix}$$

29

## Posterior probability distribution

$$\begin{aligned} p_{S|Y}(\mathbf{s}|\mathbf{y}) &= \frac{p_{Y|S}(\mathbf{y}|\mathbf{s})p_S(\mathbf{s})}{p_Y(\mathbf{y})} = \frac{p_N(\mathbf{y} - \mathbf{H}\mathbf{s})p_S(\mathbf{s})}{p_Y(\mathbf{y})} && (\text{Bayes' rule}) \\ &= \frac{1}{Z} p_N(\mathbf{y} - \mathbf{H}\mathbf{s}) p_S(\mathbf{s}) \end{aligned}$$

$$\mathbf{u} = \mathbf{L}\mathbf{s} \quad \Rightarrow \quad p_S(\mathbf{s}) \propto p_U(\mathbf{L}\mathbf{s}) \approx \prod_{\mathbf{k} \in \Omega} p_U([\mathbf{L}\mathbf{s}]_{\mathbf{k}})$$

### ■ Additive white Gaussian noise scenario (AWGN)

$$p_{S|Y}(\mathbf{s}|\mathbf{y}) \propto \exp\left(-\frac{\|\mathbf{y} - \mathbf{H}\mathbf{s}\|^2}{2\sigma^2}\right) \prod_{\mathbf{k} \in \Omega} p_U([\mathbf{L}\mathbf{s}]_{\mathbf{k}})$$

... and then take the log and maximize ...

30

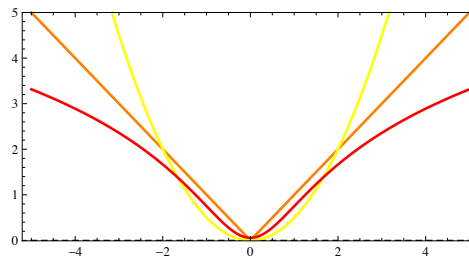
# General form of MAP estimator

$$s_{\text{MAP}} = \operatorname{argmin} \left( \frac{1}{2} \|\mathbf{y} - \mathbf{H}\mathbf{s}\|_2^2 + \sigma^2 \sum_n \Phi_U([\mathbf{L}\mathbf{s}]_n) \right)$$

- Gaussian:  $p_U(x) = \frac{1}{\sqrt{2\pi\sigma_0}} e^{-x^2/(2\sigma_0^2)} \Rightarrow \Phi_U(x) = \frac{1}{2\sigma_0^2} x^2 + C_1$
- Laplace:  $p_U(x) = \frac{\lambda}{2} e^{-\lambda|x|} \Rightarrow \Phi_U(x) = \lambda|x| + C_2$
- Student:  $p_U(x) = \frac{1}{B(r, \frac{1}{2})} \left( \frac{1}{x^2 + 1} \right)^{r+\frac{1}{2}} \Rightarrow \Phi_U(x) = (r + \frac{1}{2}) \log(1 + x^2) + C_3$



Potential:  $\Phi_U(x) = -\log p_U(x)$

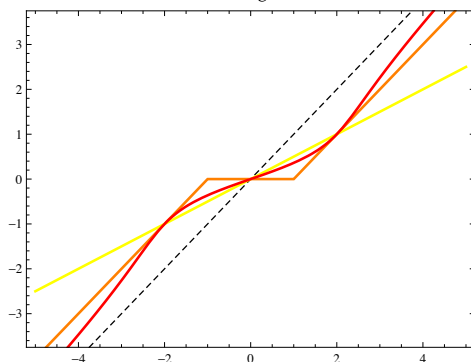


31

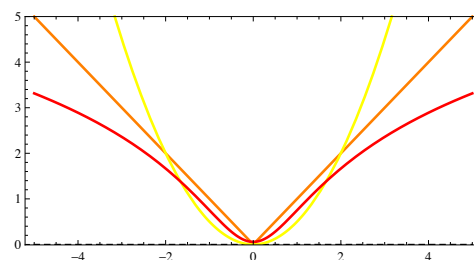
# Proximal operator: pointwise denoiser

$$\operatorname{prox}_{\Phi_U}(y; \sigma^2) = \operatorname{argmin}_{u \in \mathbb{R}} \frac{1}{2} |y - u|^2 + \sigma^2 \Phi_U(u)$$

$\tilde{u} = \operatorname{prox}_{\Phi_U}(y; 1)$



$\sigma^2 \Phi_U(u)$



- linear attenuation  $\ell_2$  minimization
- soft-threshold  $\ell_1$  minimization
- shrinkage function  $\approx \ell_p$  relaxation for  $p \rightarrow 0$

32

# Maximum a posteriori (MAP) estimation

## ■ Constrained optimization formulation

Auxiliary **innovation** variable:  $\mathbf{u} = \mathbf{L}\mathbf{s}$

$$\mathbf{s}_{\text{MAP}} = \arg \min_{\mathbf{s} \in \mathbb{R}^K} \left( \frac{1}{2} \|\mathbf{y} - \mathbf{H}\mathbf{s}\|_2^2 + \sigma^2 \sum_n \Phi_U([\mathbf{u}]_n) \right) \text{ subject to } \mathbf{u} = \mathbf{L}\mathbf{s}$$

## ■ Augmented Lagrangian method

Quadratic penalty term:  $\frac{\mu}{2} \|\mathbf{L}\mathbf{s} - \mathbf{u}\|_2^2$

Lagrange multiplier vector:  $\boldsymbol{\alpha}$

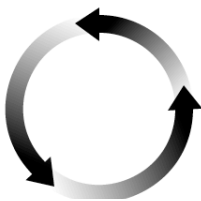
$$\mathcal{L}_{\mathcal{A}}(\mathbf{s}, \mathbf{u}, \boldsymbol{\alpha}) = \frac{1}{2} \|\mathbf{y} - \mathbf{H}\mathbf{s}\|_2^2 + \sigma^2 \sum_n \Phi_U([\mathbf{u}]_n) + \boldsymbol{\alpha}^T (\mathbf{L}\mathbf{s} - \mathbf{u}) + \frac{\mu}{2} \|\mathbf{L}\mathbf{s} - \mathbf{u}\|_2^2$$

33

# Alternating direction method of multipliers (ADMM)

$$\mathcal{L}_{\mathcal{A}}(\mathbf{s}, \mathbf{u}, \boldsymbol{\alpha}) = \frac{1}{2} \|\mathbf{y} - \mathbf{H}\mathbf{s}\|_2^2 + \sigma^2 \sum_n \Phi_U([\mathbf{u}]_n) + \boldsymbol{\alpha}^T (\mathbf{L}\mathbf{s} - \mathbf{u}) + \frac{\mu}{2} \|\mathbf{L}\mathbf{s} - \mathbf{u}\|_2^2$$

Sequential minimization



$$\mathbf{s}^{k+1} \leftarrow \arg \min_{\mathbf{s} \in \mathbb{R}^N} \mathcal{L}_{\mathcal{A}}(\mathbf{s}, \mathbf{u}^k, \boldsymbol{\alpha}^k)$$

$$\boldsymbol{\alpha}^{k+1} = \boldsymbol{\alpha}^k + \mu (\mathbf{L}\mathbf{s}^{k+1} - \mathbf{u}^k)$$

$$\mathbf{u}^{k+1} \leftarrow \arg \min_{\mathbf{u} \in \mathbb{R}^N} \mathcal{L}_{\mathcal{A}}(\mathbf{s}^{k+1}, \mathbf{u}, \boldsymbol{\alpha}^{k+1})$$

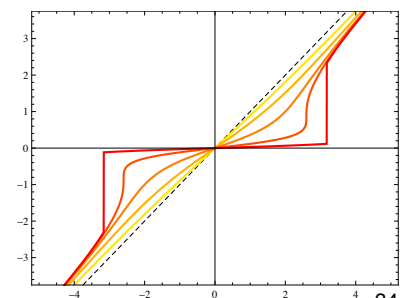
**Linear inverse problem:**  $\mathbf{s}^{k+1} = (\mathbf{H}^T \mathbf{H} + \mu \mathbf{L}^T \mathbf{L})^{-1} (\mathbf{H}^T \mathbf{y} + \mathbf{z}^{k+1})$

with  $\mathbf{z}^{k+1} = \mathbf{L}^T (\mu \mathbf{u}^k - \boldsymbol{\alpha}^k)$

**Nonlinear denoising:**  $\mathbf{u}^{k+1} = \text{prox}_{\Phi_U}(\mathbf{L}\mathbf{s}^{k+1} + \frac{1}{\mu} \boldsymbol{\alpha}^{k+1}, \frac{\sigma^2}{\mu})$

## ■ Proximal operator tailored to stochastic model

$$\text{prox}_{\Phi_U}(y; \lambda) = \arg \min_u \frac{1}{2} |y - u|^2 + \lambda \Phi_U(u)$$



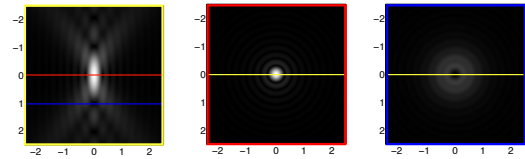
Cauchy prior with increasing  $s_0$

34

# Deconvolution of fluorescence micrographs

## Physical model of a diffraction-limited microscope

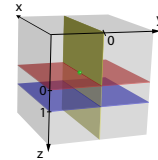
$$g(x, y, z) = (h_{3D} * s)(x, y, z)$$



### 3-D point spread function (PSF)

$$h_{3D}(x, y, z) = I_0 \left| p_\lambda \left( \frac{x}{M}, \frac{y}{M}, \frac{z}{M^2} \right) \right|^2$$

$$p_\lambda(x, y, z) = \int_{\mathbb{R}^2} P(\omega_1, \omega_2) \exp \left( j2\pi z \frac{\omega_1^2 + \omega_2^2}{2\lambda f_0^2} \right) \exp \left( -j2\pi \frac{x\omega_1 + y\omega_2}{\lambda f_0} \right) d\omega_1 d\omega_2$$

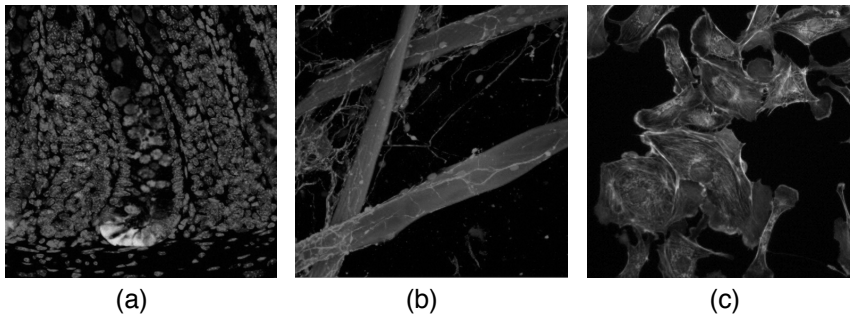


### Optical parameters

- $\lambda$ : wavelength (emission)
- $M$ : magnification factor
- $f_0$ : focal length
- $P(\omega_1, \omega_2) = \mathbb{1}_{\|\omega\| < R_0}$ : pupil function
- $\text{NA} = n \sin \theta = R_0 / f_0$ : numerical aperture

35

## Deconvolution experiments



**Figure 10.3** Images used in deconvolution experiments. (a) Stem cells surrounded by goblet cells. (b) Nerve cells growing around fibers. (c) Artery cells.

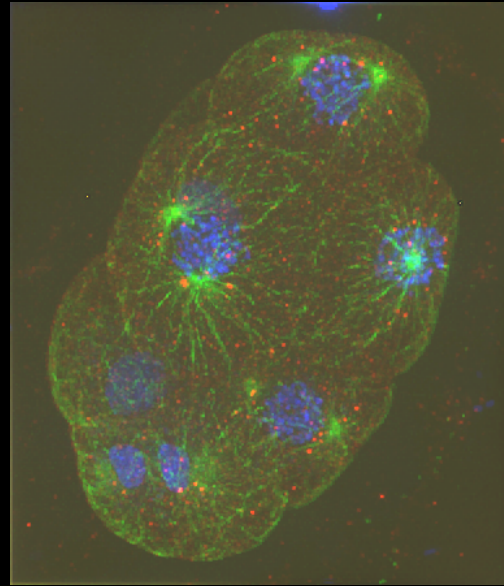
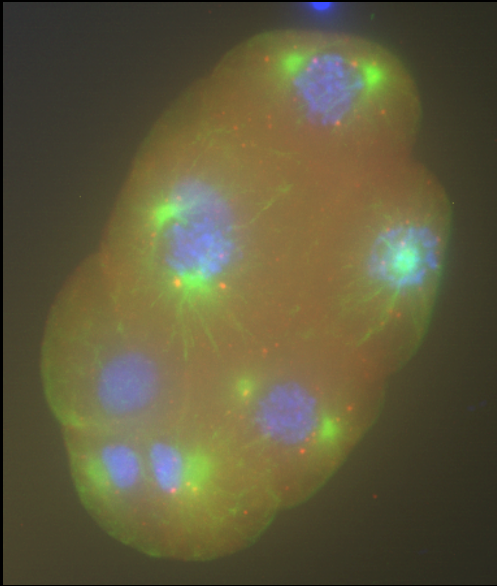
**Table 10.2** Deconvolution performance of MAP estimators based on different prior distributions.

	BSNR (dB)	Estimation performance (SNR in dB)		
		Gaussian	Laplace	Student's
Stem cells	20	<b>14.43</b>	13.76	11.86
	30	<b>15.92</b>	15.77	13.15
	40	<b>18.11</b>	<b>18.11</b>	13.83
Nerve cells	20	13.86	<b>15.31</b>	14.01
	30	15.89	<b>18.18</b>	15.81
	40	18.58	<b>20.57</b>	16.92
Artery cells	20	14.86	<b>15.23</b>	13.48
	30	16.59	<b>17.21</b>	14.92
	40	18.68	<b>19.61</b>	15.94

L: discrete gradient

36

## 3D deconvolution with sparsity constraints



Maximum intensity projections of  $384 \times 448 \times 260$  image stacks;  
 Leica DM 5500 widefield epifluorescence microscope with a  $63 \times$  oil-immersion objective;  
 C. Elegans embryo labeled with Hoechst, Alexa488, Alexa568;

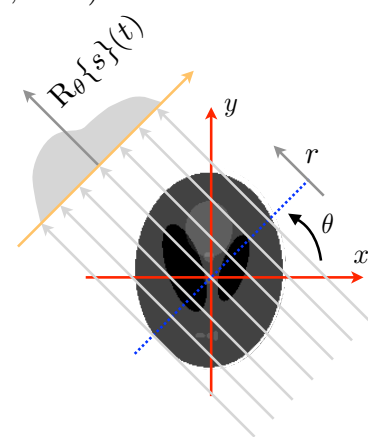
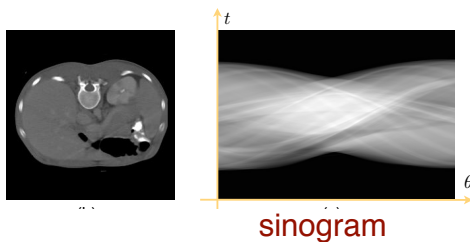
(Vonesch-U. *IEEE Trans. Im. Proc.* 2009)

## Computed tomography (straight rays)

Projection geometry:  $\mathbf{x} = t\boldsymbol{\theta} + r\boldsymbol{\theta}^\perp$  with  $\boldsymbol{\theta} = (\cos \theta, \sin \theta)$

### ■ Radon transform (line integrals)

$$\begin{aligned} R_\theta\{s(\mathbf{x})\}(t) &= \int_{\mathbb{R}} s(t\boldsymbol{\theta} + r\boldsymbol{\theta}^\perp) dr \\ &= \int_{\mathbb{R}^2} s(\mathbf{x}) \delta(t - \langle \mathbf{x}, \boldsymbol{\theta} \rangle) d\mathbf{x} \end{aligned}$$

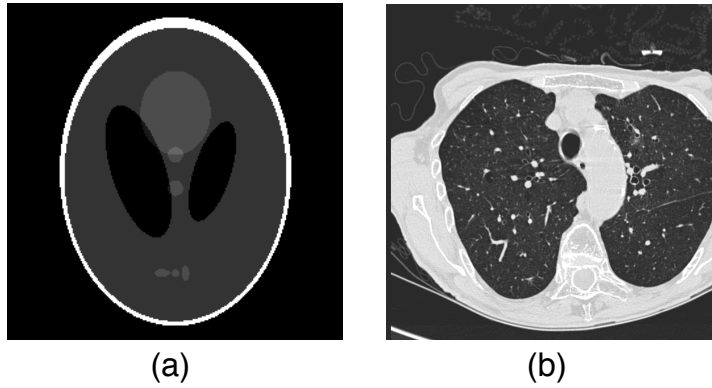


(applicable to  
 tomographic phase microscopy  
 with plane wave illumination)

Equivalent analysis functions:  $\eta_m(\mathbf{x}) = \delta(t_m - \langle \mathbf{x}, \boldsymbol{\theta}_m \rangle)$



# Computed tomography reconstruction results



**Figure 10.6** Images used in X-ray tomographic reconstruction experiments. (a) The Shepp-Logan (SL) phantom. (b) Cross section of a human lung.

**Table 10.4** Reconstruction results of X-ray computed tomography using different estimators.

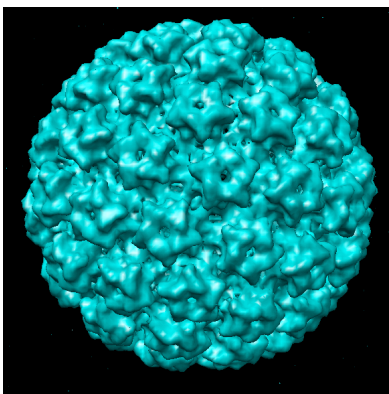
	Directions	Estimation performance (SNR in dB)		
		Gaussian	Laplace	Student's
SL Phantom	120	16.8	17.53	<b>18.76</b>
SL Phantom	180	18.13	18.75	<b>20.34</b>
Lung	180	<b>22.49</b>	21.52	21.45
Lung	360	<b>24.38</b>	22.47	22.37

L: discrete gradient

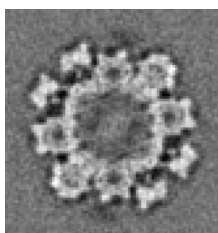
# Cryo-electron tomography (real data)



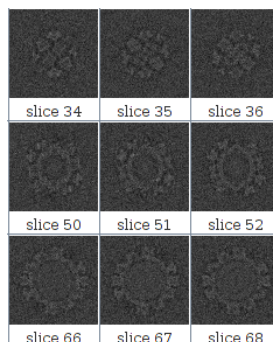
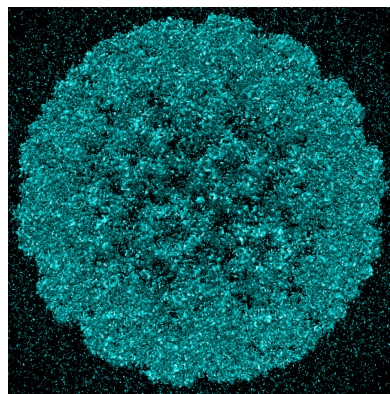
Standard Fourier-based reconstruction



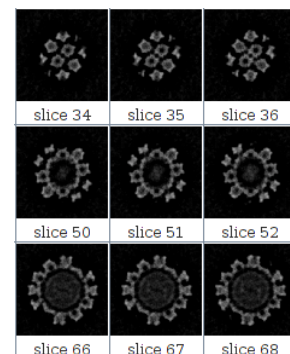
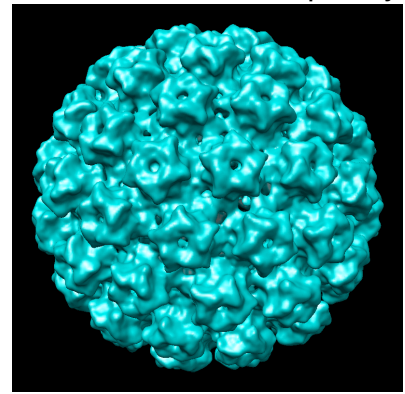
6.185 Å



High-resolution Fourier-based reconstruction



High-resolution reconstruction with sparsity



## Conceptual summary of 2nd generation methods

$$J(\mathbf{x}, \mathbf{u}) = \underbrace{\frac{1}{2} \|\mathbf{y} - \mathbf{H}\mathbf{x}\|_2^2}_{\text{consistency}} + \underbrace{\lambda R(\mathbf{u})}_{\text{prior constraints}} + \underbrace{\mu \|\mathbf{L}\mathbf{x} - \mathbf{u}\|_2^2}_{\text{algorithmic coupling}}$$

Physical model      Statistical model of signal

**Schematic structure of reconstruction algorithm:**

Repeat

$N_{\text{iter}}$   $\mathbf{x}^{(n)} = \arg \min_{\mathbf{x}} J(\mathbf{x}, \mathbf{u}^{(n-1)})$ : Linear step (problem specific)

$\mathbf{u}^{(n)} = \arg \min_{\mathbf{u}} J(\mathbf{x}^{(n)}, \mathbf{u})$ : Statistical or “denoising” step

until stop criterion

41



Part 3:

The (deep) learning (r)evolution

⇒ Emergence of 3rd generation methods

42

# Learning within the current paradigm

- Data-driven tuning of parameters:  $\lambda$ , calibration of forward model

Semi-blind methods, sequential optimization

- Improved decoupling/representation of the signal

Data-driven **dictionary learning**

(based of sparsity or statistics/ICA)

$\Rightarrow$  “optimal”  $\mathbf{L}$

(Elad 2006, Ravishankar 2011, Mairal 2012)

- Learning of non-linearities / Proximal operators

CNN-type parametrization, backpropagation

$\Rightarrow$  “optimal” potential  $\Phi$

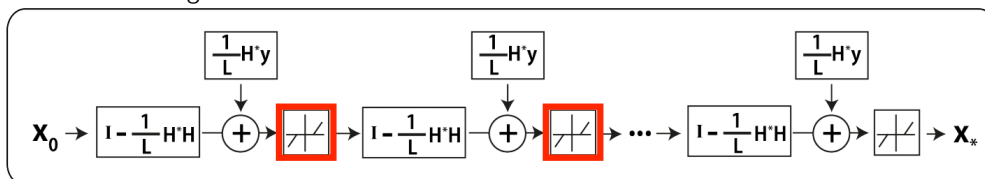
(Chen-Pock 2015-2016, Kamilov 2016)

# Connection with deep neural networks

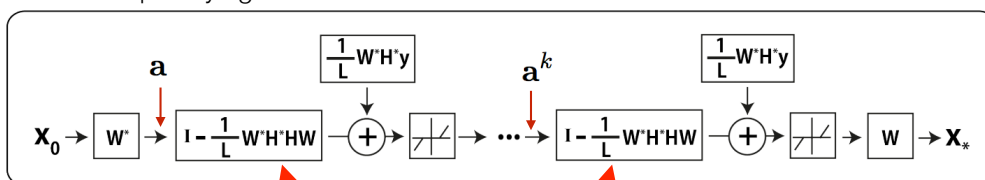
(Gregor-LeCun 2010)

## Unrolled Iterative Shrinkage Thresholding Algorithm (ISTA)

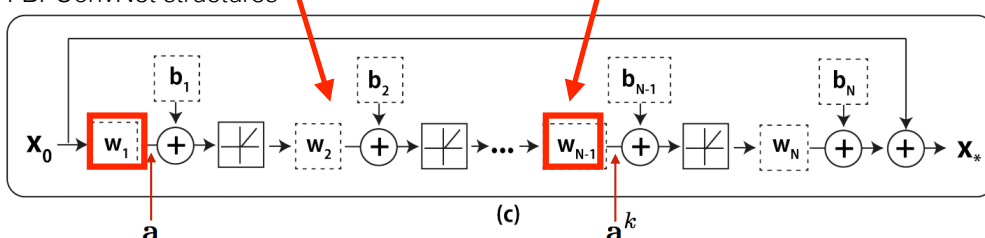
LISTA : learning-based ISTA



ISTA with sparsifying transformation (a)



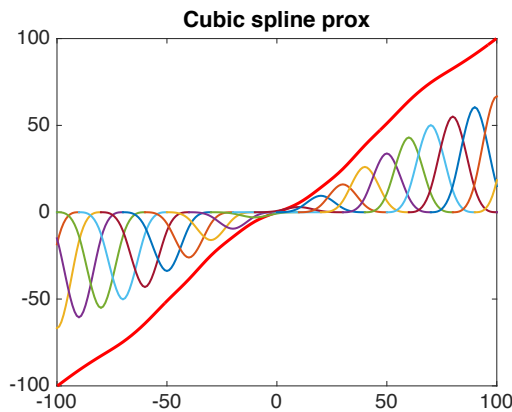
FBPConvNet structures (b)



(c)

# SplineProx: learning shrinkage functions

$$\text{SplineProx}(x|\mathbf{a}) = \sum_{k \in \mathbb{Z}} a_k \beta^3(x/T - k)$$



Pointwise nonlinearity parametrized by B-spline coefficients  $\mathbf{a}$

Mathematical constraints: **firmly nonexpansive** vs. **monotonic**

(Kamilov *IEEE SPL* 2016; Nguyen et al., arXiv:1705.05591 [cs.LG])

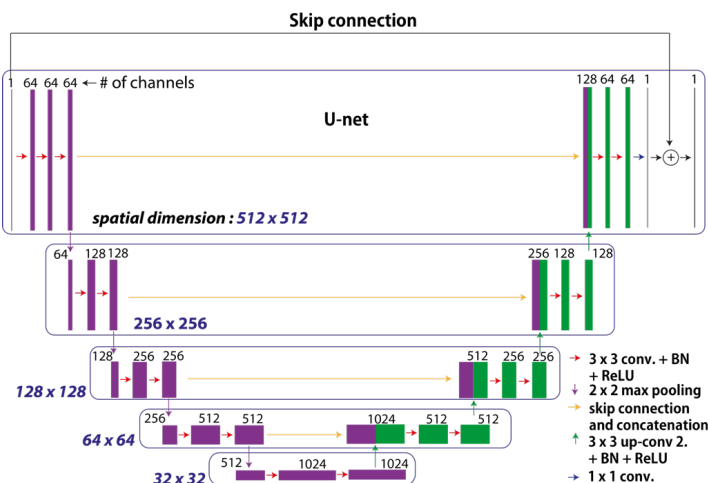
# Recent appearance of Deep ConvNets

(Jin et al. 2016; Chen et al. 2017; ... )

## ■ CT reconstruction based on Deep ConvNets

- Input: Sparse view FBP reconstruction
- Training: Set of 500 high-quality full-view CT reconstructions
- Architecture: U-Net with skip connection

(Jin et al., arXiv:1611.03679)



CT data

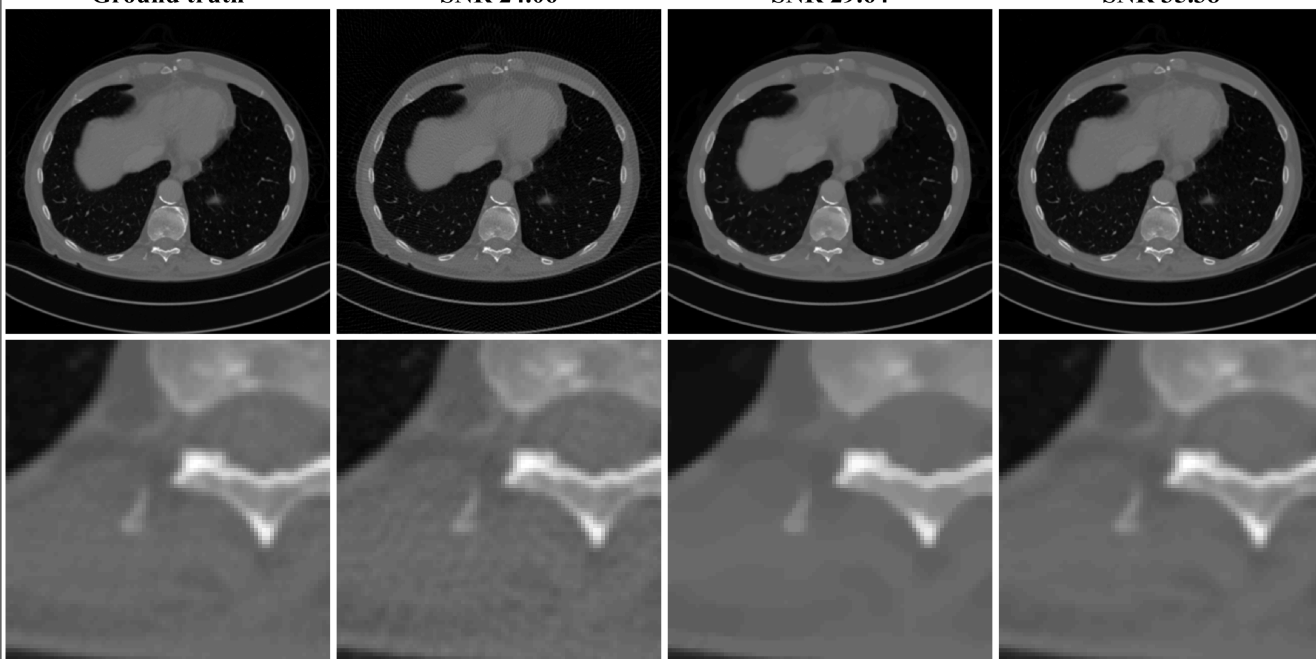
Dose reduction by 7: 143 views

Ground truth

FBP  
SNR 24.06

TV  
SNR 29.64

FBPConvNet  
SNR 35.38



Reconstructed from  
from 1000 views

(Jin et al., *IEEE Trans. Im Proc.*, in press)



CT data

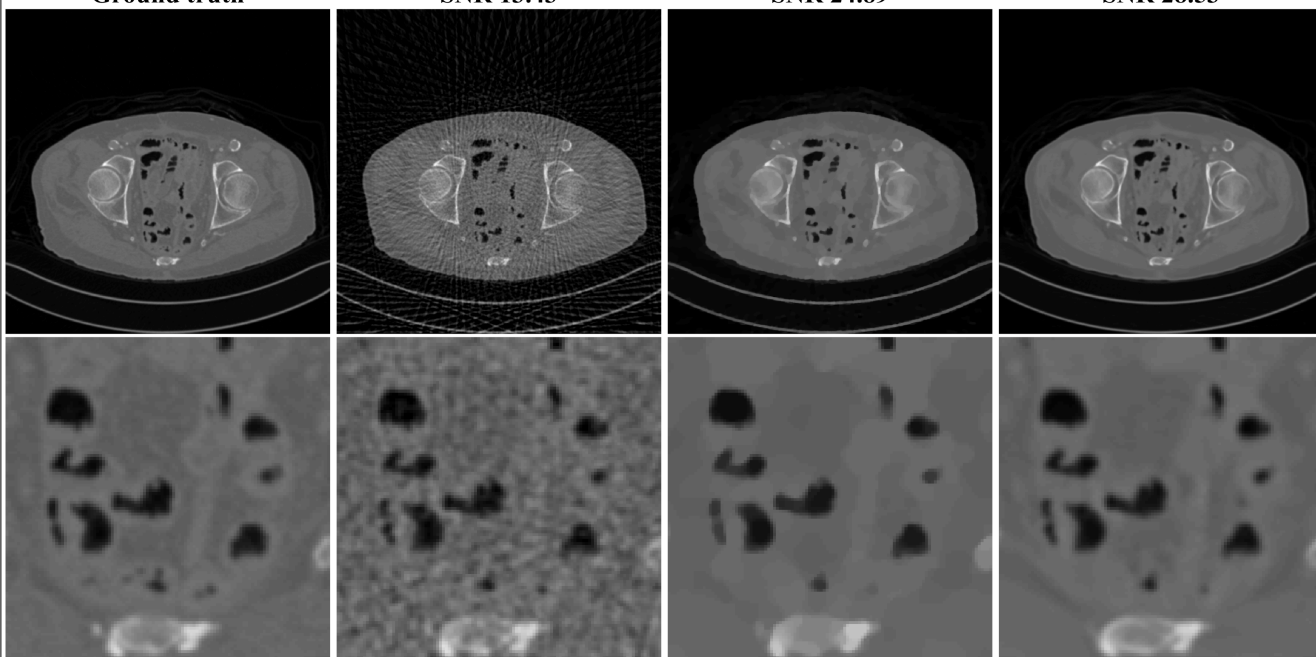
Dose reduction by 20: 50 views

Ground truth

FBP  
SNR 13.43

TV  
SNR 24.89

FBPConvNet  
SNR 28.53



Reconstructed from  
from 1000 views

(Jin et al., *IEEE Trans. Im Proc.*, in press)





# Primary reconstruction methods: **typology**

<i>Methods</i>	<i>Theories</i>	
1. <b>Classical (FBP)</b>	Tikhonov	Gaussian estimation
2. <b>Sparsity-driven</b>	Compressed sensing	<b>gTV-splines</b>
3. <b>Extended modeling</b>	<b>Sparse stochastic processes</b>	Bi-level optimization (joint statistical estimation)
4. <b>Deep learning</b>	Machine learning	Data science

*New learning era*



↑ degree of understanding

# Reconstruction methods: **tuning parameters**

1. <b>Classical (FBP)</b>	L or power spectrum	→ $\lambda$
2. <b>Sparsity-driven</b>	L or sparsifying transform	→ $\lambda$
3. <b>Extended modeling</b>	L or sparsifying transform + <b>Lévy exponent</b>	→ Dictionary + <b>Shrinkage functions</b>
4. <b>Deep learning</b>	weights of neural network <b>(millions)</b>	

↓ complexity

# Comparison chart

	1. Classical reconstruction	2. Sparsity driven	3. Extended modeling	4. Deep learning
Speed	+++	++	+	--- / +++ training
Reconstruction quality	+	++	++(+)	+++
Full views	++	++(+)	+++	???
Few views (CS)	+	++	++(+)	no way to train 
Theoretical guarantees (optimality, worst case...)	+++	++(+)	+(+) ?	
Robustness	++	+++	++(+)	-- need to retrain for each configuration
Training data requirement	Modest	Modest	Average	<b>Enormous</b>

51

## Conclusion

### ■ Can we further reduce exposure time/improve image quality ?

Preliminary results with **FBPConvNet** suggest that there is still room considerable improvement using learning: ×4 reduction or more ?

### ■ Key to success

- Realistic forward model (**physics**) with autocalibration
- Better **signal modeling**
- Reducing the number of parameters to tune

### ■ The future: **Computational imaging** with **educated learning**

Find a “safe” compromise between **principled approaches** (robust, with guarantees of performance) and purely **data-driven approaches** (top performers) whose functioning is not yet understood.

### **Important requirement for bioimaging**

- Looking “good” is not enough ⇒ task-oriented evaluation
- Difficulty of having “goldstandard” for training CNNs
- Need worst-case guarantees; understanding of bias/limitations

52

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53

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- Prof. John-Paul Ward
- ....



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- Prof. Marco Stampanoni
- Prof. Carlos-Oscar Sorzano
- Dr. Arne Seitz
- ....



- Preprints and demos: <http://bigwww.epfl.ch/>

54