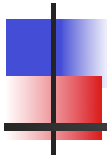
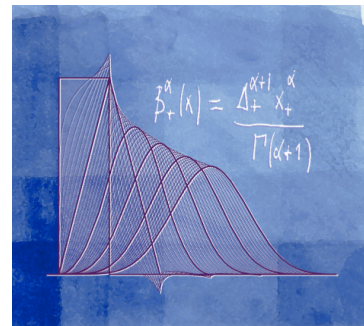


## Beyond the digital divide: Ten good reasons for using splines



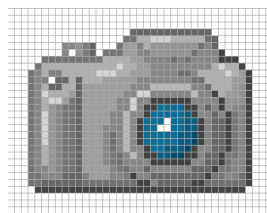
Michael Unser  
Biomedical Imaging Group  
EPFL, Lausanne  
Switzerland



Seminars of Numerical Analysis, EPFL, May 9, 2010

## The digital divide

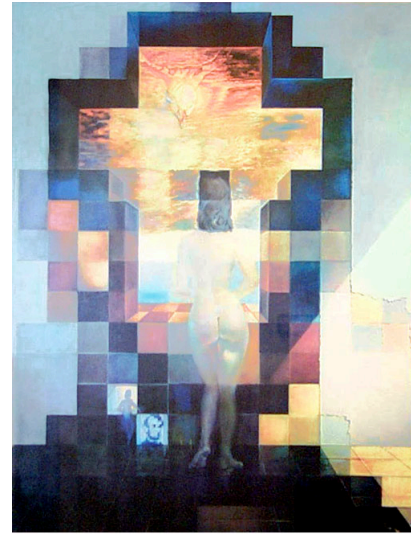
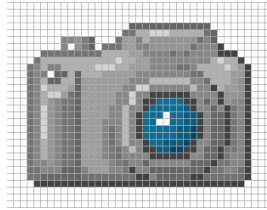
Is continuous-domain signal processing dead ?



- Arguments in favor of its suppression:
  - The modern world is discrete and ruled by computers
  - Modern SP courses concentrate on digital signal processing
  - Most processing is discrete
  - Students don't like the Laplace transform...

## The digital divide (Cont'd)

Are continuous mathematics obsolete ?

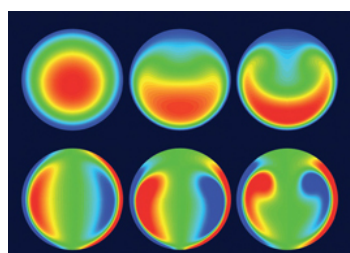
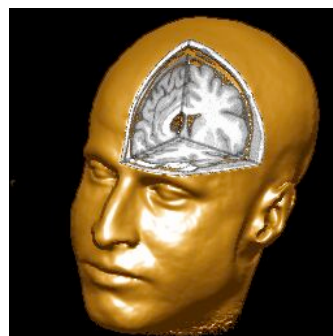
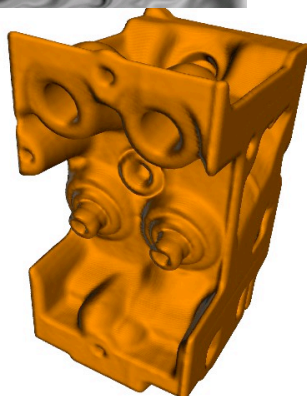
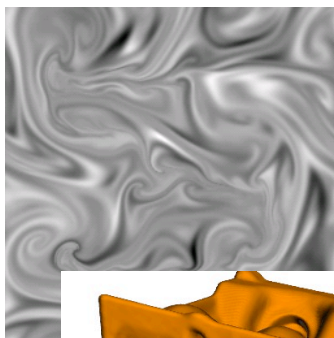


- However...
  - Most real-world objects, phenomena or signals are continuous
  - Often, the end product/goal is analog
  - Don't forget the interface: A-to-D and D-to-A
  - Many discrete algorithms require "continuous" thinking

1-3

Part of scientific computing is ...

**Think analog, act digital !**



1-4

# OUTLINE

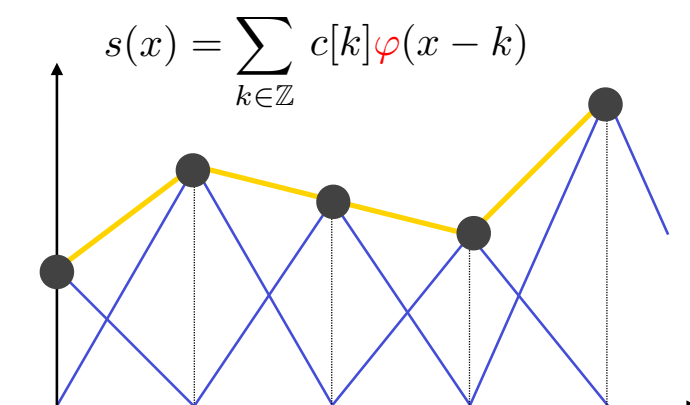
- Introduction
- Cardinal-spline formalism
- Ten+ good reasons for using B-splines
  - Computational
  - Theoretical
  - Conceptual
  - Practical
- Application examples in image processing

1-5

## Basic interpolation problem

Find an interpolating function  $s(x)$ ,  $x \in \mathbb{R}$  such that

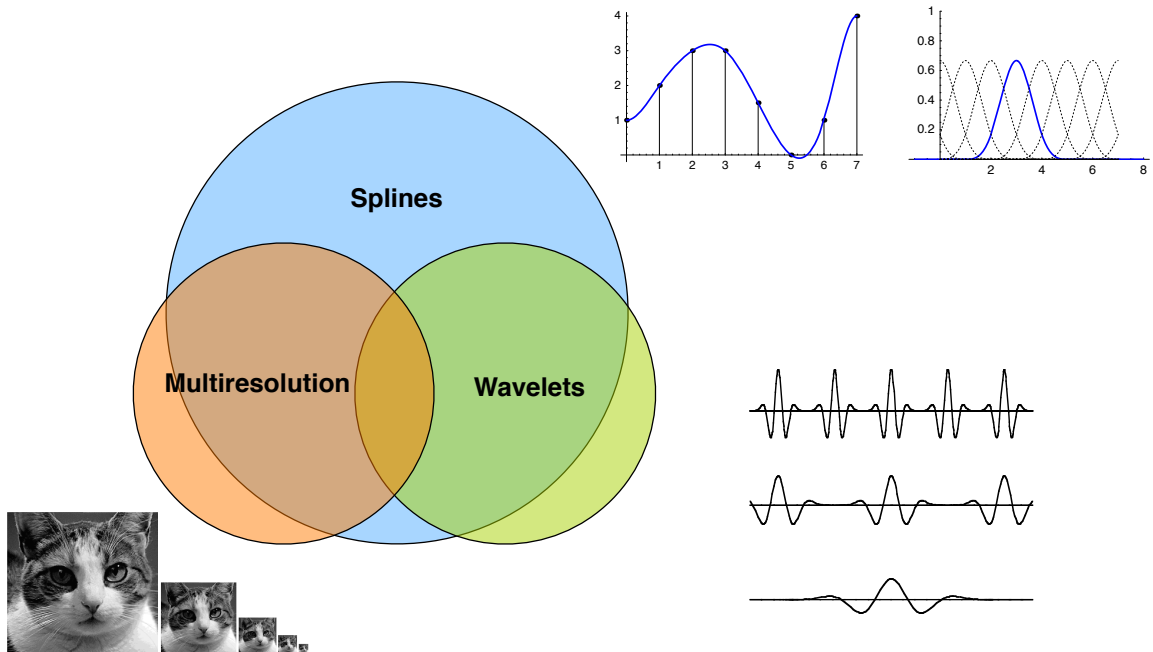
- $s(k) = f[k]$ ,  $k \in \mathbb{Z}$
- $s(x)$  is piecewise-polynomial, continuous, ...



1-6

# Splines: a unifying framework

Linking the discrete and the continuous .....



1-7

IEEE Signal Processing Magazine



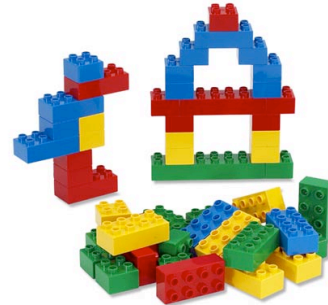
## Splines

A Perfect Fit for Signal and Image Processing

November 1999

# CARDINAL SPLINE FORMALISM

- Distributional definition: L-splines
- Basic atoms
- Polynomial B-splines



1-9

## General concept of an L-spline

$L\{\cdot\}$ : differential operator (translation-invariant)

$\delta(\mathbf{x}) = \prod_{i=1}^d \delta(x_i)$ : multidimensional Dirac distribution

### Definition

The continuous-domain function  $s(\mathbf{x})$  is a **cardinal L-spline** iff.

$$L\{s\}(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbb{Z}^d} a[\mathbf{k}] \delta(\mathbf{x} - \mathbf{k})$$

- Cardinality: the knots (or spline singularities) are on the (multi-)integers  
 $\Rightarrow$  ideal framework for signal processing
- Generalization: includes polynomial splines as particular case ( $L = \frac{d^N}{dx^N}$ )

1-10

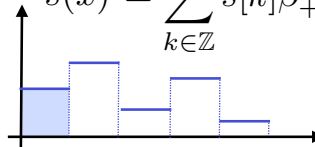
## Example: piecewise-constant splines

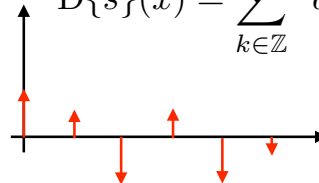
- Spline-defining operators

Continuous-domain derivative:  $D = \frac{d}{dx} \longleftrightarrow j\omega$

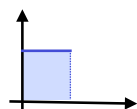
Discrete derivative:  $\Delta_+\{\cdot\} \longleftrightarrow 1 - e^{-j\omega}$

- Piecewise-constant or D-spline

$$s(x) = \sum_{k \in \mathbb{Z}} s[k] \beta_+^0(x - k)$$


$$D\{s\}(x) = \sum_{k \in \mathbb{Z}} \overbrace{a[k]}^{\Delta_+ s(k)} \delta(x - k)$$


- B-spline function



$$\beta_+^0(x) = \Delta_+ D^{-1}\{\delta\}(x) \longleftrightarrow$$

$$\frac{1 - e^{-j\omega}}{j\omega}$$

1-11

## Existence of a local, shift-invariant basis?

- Space of cardinal L-splines

$$V_L = \left\{ s(\mathbf{x}) : L\{s\}(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbb{Z}^d} a[\mathbf{k}] \delta(\mathbf{x} - \mathbf{k}) \right\} \cap L_2(\mathbb{R}^d)$$

- Generalized B-spline representation

A “localized” function  $\varphi(\mathbf{x}) \in V_L$  is called *generalized B-spline* if it generates a Riesz basis of  $V_L$ ; i.e., iff. there exists  $(A > 0, B < \infty)$  s.t.

$$A \cdot \|c\|_{\ell_2(\mathbb{Z}^d)} \leq \left\| \sum_{\mathbf{k} \in \mathbb{Z}^d} c[\mathbf{k}] \varphi(\mathbf{x} - \mathbf{k}) \right\|_{L_2(\mathbb{R}^d)} \leq B \cdot \|c\|_{\ell_2(\mathbb{Z}^d)}$$

$$V_L = \left\{ s(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbb{Z}^d} c[\mathbf{k}] \varphi(\mathbf{x} - \mathbf{k}) : \mathbf{x} \in \mathbb{R}^d, c \in \ell_2(\mathbb{Z}^d) \right\}$$

continuous-domain signal

discrete signal  
(B-spline coefficients)

1-12

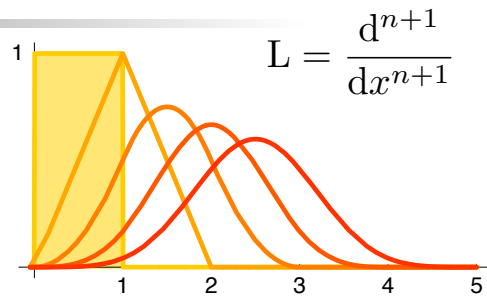
# Polynomial B-splines

## ■ B-spline of degree $n$

$$\beta_+^n(x) = \underbrace{\beta_+^0 * \beta_+^0 * \dots * \beta_+^0}_{(n+1) \text{ times}}(x)$$



$$\beta_+^0(x) = \begin{cases} 1, & x \in [0, 1) \\ 0, & \text{otherwise.} \end{cases}$$

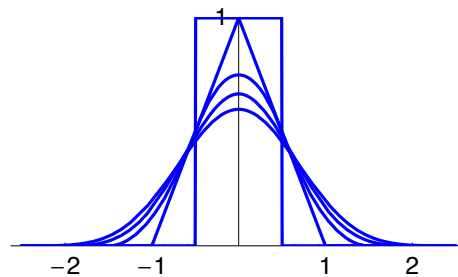


## ■ Key properties

- Riesz basis generator for the cardinal polynomial splines
- Shortest polynomial spline of degree  $n$

## ■ Symmetric B-spline

$$\beta^n(x) = \beta_+^n\left(x + \frac{n+1}{2}\right)$$



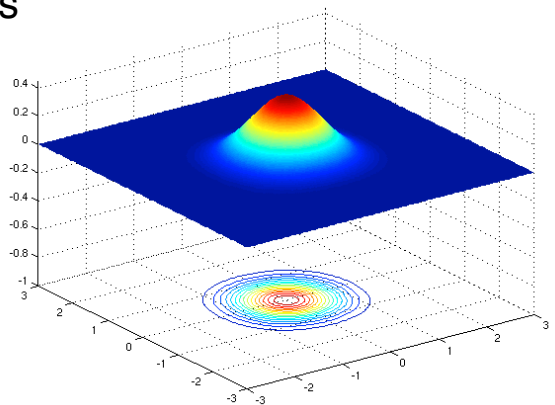
1-13

# B-spline representation of images

## ■ Symmetric, tensor-product B-splines

$$\beta^n(x_1, \dots, x_d) = \beta^n(x_1) \times \dots \times \beta^n(x_d)$$

$$L = \frac{\partial^{d+n}}{\partial x_1^{n+1} \dots \partial x_d^{n+1}}$$



## ■ Multidimensional spline function

$$s(x_1, \dots, x_d) = \sum_{(k_1, \dots, k_d) \in \mathbb{Z}^d} c[k_1, \dots, k_d] \beta^n(x_1 - k_1, \dots, x_d - k_d)$$

continuous-space image

image array  
(B-spline coefficients)

Compactly supported  
basis functions

1-14

# TEN REASONS FOR USING SPLINES

- Mathematical elegance
- Fast algorithms
- Approximation theory
- Link with *<your favorite>* theory

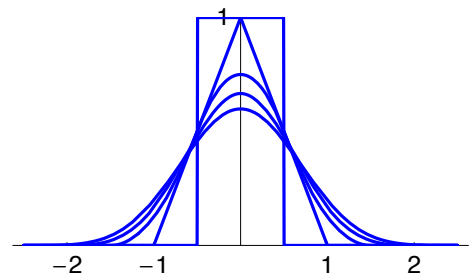
⋮

1-15

## 1. B(eautiful) basis functions

- Polynomial B-splines (centered)

$$\beta^n(x) = \frac{\Delta^{n+1}x_+^n}{(n+1)!} = (\beta^0 * \beta^{n-1})(x)$$



- Attractive properties for image processing

- Compact support: shortest polynomial spline of degree  $n$
- Symmetry
- Positivity
- Controlled smoothness: Hölder-continuous of order  $n$
- Bell-shaped (optimal space-frequency localization)

$$\beta^n(x) \rightarrow \frac{1}{\sqrt{2\pi}\sigma_n} \exp\left(\frac{-x^2}{2\sigma_n^2}\right) \quad \text{with } \sigma_n = \sqrt{\frac{n+1}{12}}$$

Reference: (Schoenberg, 1946)

1-16



## 2. Fast digital-filtering algorithms

All classical spline interpolation and approximation problems can be solved efficiently using recursive digital filtering

### ■ Interpolation problem

Given the signal samples  $f[\mathbf{k}]$ , find the B-spline coefficients  $c[\mathbf{k}]$  such that

$$f(\mathbf{x})|_{\mathbf{x}=\mathbf{k}} = f[\mathbf{k}] = \sum_{\mathbf{k}_1 \in \mathbb{Z}^p} c[\mathbf{k}_1] \varphi(\mathbf{k} - \mathbf{k}_1)$$

⇒ Inverse filtering solution

$$f[\mathbf{k}] \xrightarrow{\text{Digital filter}} c[\mathbf{k}] = (h_{\text{int}} * f)[\mathbf{k}] \quad \text{with} \quad H_{\text{int}}(\mathbf{z}) = \frac{1}{B(\mathbf{z})} = \frac{1}{\sum_{\mathbf{k} \in \mathbb{Z}^p} \varphi(\mathbf{k}) \mathbf{z}^{-\mathbf{k}}}$$

Note:  $\varphi(\mathbf{x})$  separable ⇒  $h_{\text{int}}[\mathbf{k}]$  separable

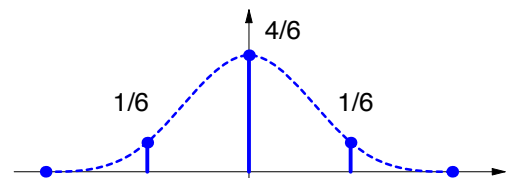
Reference: B-spline signal processing (Unser, *IEEE-SP* 1993)

1-17

## Example: cubic-spline interpolation

### ■ Cubic B-spline

$$\varphi(x) = \beta^3(x) = \begin{cases} \frac{2}{3} - \frac{1}{2}|x|^2(2 - |x|), & 0 \leq |x| < 1 \\ \frac{1}{6}(2 - |x|)^3, & 1 \leq |x| < 2 \\ 0, & \text{otherwise} \end{cases}$$



### ■ Discrete B-spline kernel: $B(z) = \frac{z + 4 + z^{-1}}{6}$

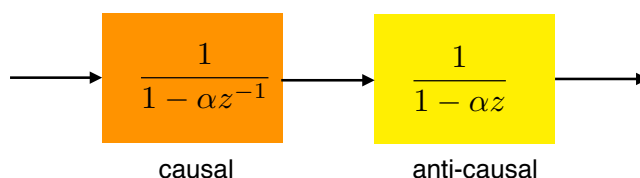
### ■ Interpolation filter

$$\frac{6}{z + 4 + z^{-1}} = \frac{(1 - \alpha)^2}{(1 - \alpha z)(1 - \alpha z^{-1})} \xleftrightarrow{z} h_{\text{int}}[k] = \left( \frac{1 - \alpha}{1 + \alpha} \right) \alpha^{|k|}$$

$$\alpha = -2 + \sqrt{3} = -0.171573$$

(symmetric exponential)

➔ Cascade of first-order recursive filters



1-18

### 3. Simple manipulations

The polynomial spline family is closed with respect to differentiation

- Derivative operator

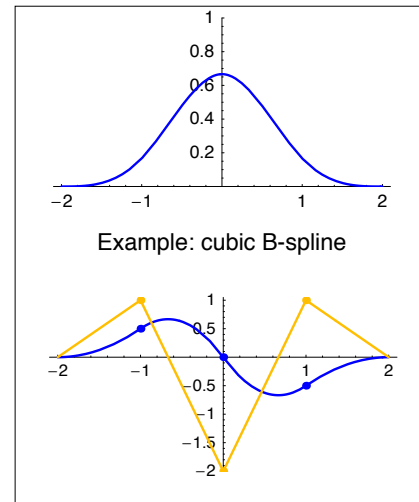
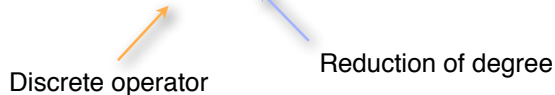
$$Df(x) = \frac{df(x)}{dx}$$

- Finite-difference operator (centered)

$$\Delta f(x) \triangleq f(x + \frac{1}{2}) - f(x - \frac{1}{2})$$

- Derivative of a B-spline (exact)

$$D^m \beta^n(x) = \Delta^m \beta^{n-m}(x)$$



Reference: (Schoenberg, 1946)

### 4. Link with system theory: C-to-D converters

Exponential B-splines = the mathematical translators between continuous-time and discrete-time LSI system theories

#### Continuous domain

- differential equations
- circuits, analog filters
- Laplace transform:

$$H_C(s) = \frac{\prod_{m=1}^M (s - \gamma_m)}{\prod_{n=1}^N (s - \alpha_n)}$$

zeros

poles

#### Discrete domain

- difference equations
- digital filters
- z-transform:

$$H_D(z) = \frac{1}{\prod_{n=1}^N (z - z_n)}$$

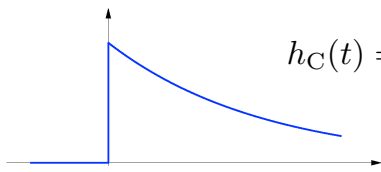
mapping:  $z_n = e^{\alpha_n}$

$$\text{Associated B-spline: } \beta_{\vec{\alpha}}(t) = \mathcal{L}^{-1} \left\{ \frac{H_C(s)}{H_D(e^s)} \right\} (t)$$

Reference: "Think analog, act digital" (Unser, *IEEE-SP* 2006)

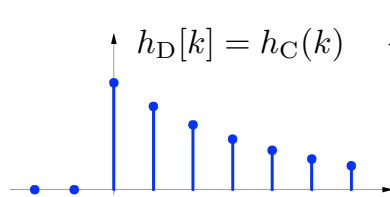
## Example: 1st order system

### Continuous-time impulse response



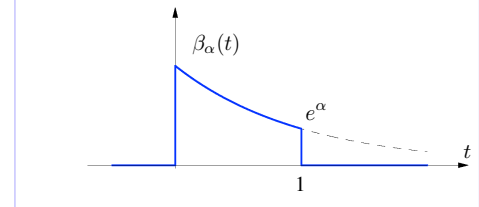
$$h_C(t) = 1_+(t) \cdot e^{\alpha t} = \begin{cases} e^{\alpha t}, & t \geq 0 \\ 0, & t < 0 \end{cases} \quad \xleftrightarrow{\mathcal{L}} \quad H_C(s) = \frac{1}{s - \alpha}$$

### Discrete-time counterpart



$$h_D[k] = h_C(k) \quad \xleftrightarrow{z} \quad H_D(z) = \frac{1}{z - e^\alpha}$$

### 1st-order exponential B-spline



$$h_C(t) = 1_+(t) \cdot e^{\alpha t} = \sum_{k=0}^{+\infty} e^{\alpha k} \beta_\alpha(t - k) = \sum_{k \in \mathbb{Z}} \underbrace{h_D[k]}_{\text{Discrete-time signal}} \underbrace{\beta_\alpha(t - k)}_{\text{Compactly-supported basis functions}}$$

Continuous-time signal

1-21

## 5. Best cost-performance tradeoff

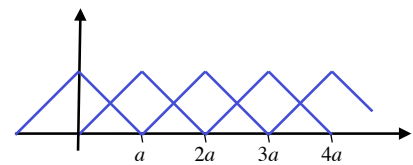
Polynomial B-splines have

- maximum order of approximation for a minimum support (MOMS)
- a low asymptotic approximation constant.

This explains their superior performance in imaging applications.

### Approximation of a function at scale $a$

$$V_a(\varphi) = \left\{ s_a(x) = \sum_{k \in \mathbb{Z}} c[k] \varphi\left(\frac{x}{a} - k\right) : c \in \ell_2 \right\}$$



**Definition:** A generating function  $\varphi$  has order of approximation  $\gamma$  iff.

$$\forall f \in W_2^\gamma, \quad \arg \min_{s_a \in V_a} \|f - s_a\|_{L_2} \leq C_\gamma \cdot a^\gamma \cdot \|f^{(\gamma)}\|_{L_2}$$

- $\beta^n(x)$  has order of approximation  $\gamma = n + 1$  and  $C_{\gamma, \min} = \frac{\sqrt{2\zeta(2\gamma)}}{(2\pi)^\gamma}$

Reference: (Strang-Fix, 1973; Blu-U., IEEE-SP 1999)

1-22

# Interpolation benchmark

Cumulative rotation experiment: the best algorithm wins !

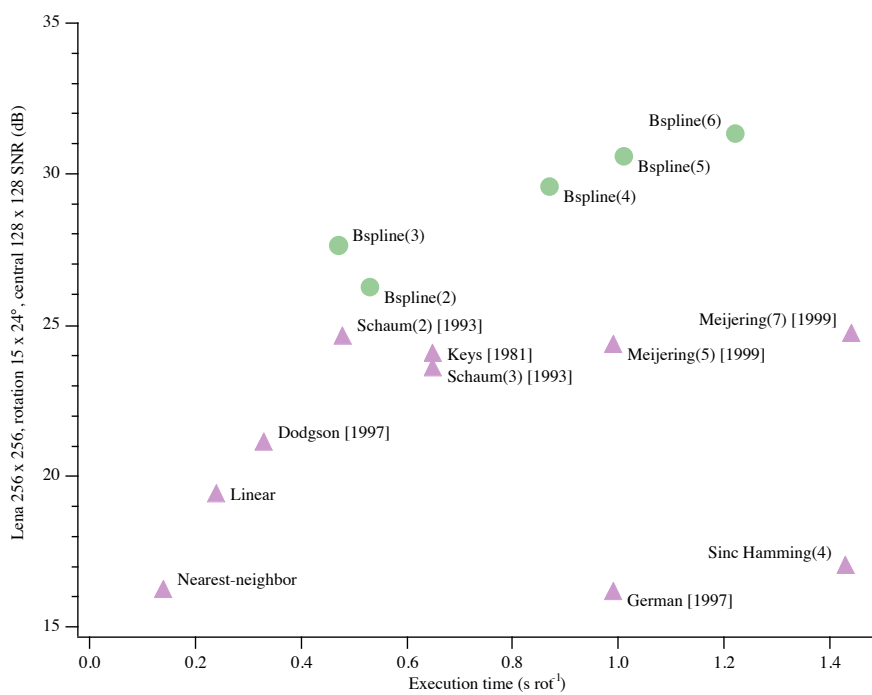


Bilinear

Windowed-sinc

Cubic spline

# High-quality image interpolation



## 6. Link with wavelet theory

Polynomial B-splines have remarkable dilation properties. They play a fundamental role in wavelet theory.

### ■ Generalized Lego™/Duplo™ relation



$$\beta_+^0(x/2) = \beta_+^0(x) + \beta_+^0(x-1)$$

B-spline dilation property: 
$$\beta_+^n(x/2) = \sum_{k \in \mathbb{Z}} h_2^n[k] \beta_+^n(x-k)$$

Binomial filter: 
$$H_2^n(z) = \frac{1}{2^n} \sum_{k=0}^{n+1} \binom{n+1}{k} z^{-k} = \frac{1}{2^n} (1+z^{-1})^{n+1}$$

1-25

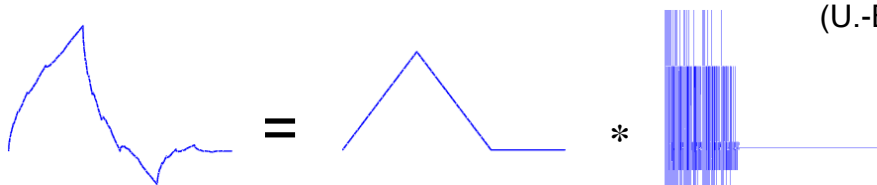
## B-spline factorization theorem

**Theorem:** A valid scaling function  $\varphi(x)$  has order of approximation  $\gamma$  iff.

$$\varphi(x) = (\beta_+^\alpha * \varphi_0)(x)$$

where  $\beta_+^\alpha$  with  $\alpha = \gamma - 1$ : regular, B-spline part

$\varphi_0 \in S'$ : irregular, distributional part



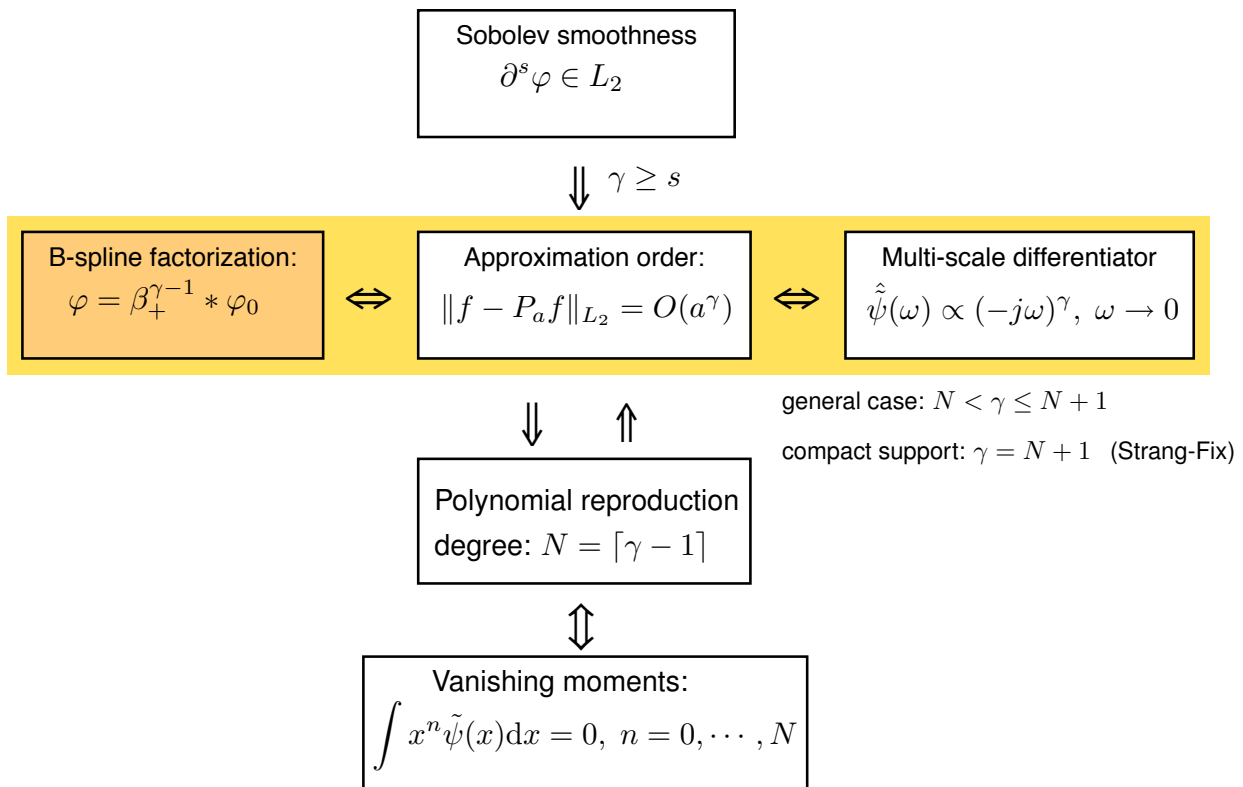
(U.-Blu, IEEE-SP, 2003)

### ■ Refinement filter: general case

$$H(z) = \underbrace{\left(\frac{1+z^{-1}}{2}\right)^\gamma}_{\text{spline part}} \cdot \underbrace{Q(z)}_{\text{distributional part}} \quad \text{with } |Q(e^{j\omega})| < +\infty$$

1-26

# Splines: the key to wavelet theory



Reference: Wavelet theory demystified (Unser-Blu, *IEEE-SP*, 2003)

1-27

## 7. Link with regularization theory

Spline estimators are optimal from a variational point of view.

### ■ Smoothing-spline estimator

Discrete, noisy input:

$$f[k] = s_{\text{ref}}(k) + \text{noise}$$



**Smoothing  
algorithm**



Continuous-domain estimate:

$$s(x) = \sum_{k \in \mathbb{Z}} c[k] \beta^n(x - k)$$

**Theorem:** The solution (among all functions) of the smoothing-spline problem

$$\min_{s(x)} \left\{ \sum_{k \in \mathbb{Z}} |f[k] - s(k)|^2 + \lambda \int_{-\infty}^{+\infty} |D^m s(x)|^2 dx \right\}$$

is a cardinal spline of degree  $2m - 1$ . In addition, its coefficients  $c[k] = h_\lambda * f[k]$  can be obtained by suitable digital filtering of the input samples  $f[k]$ .

References: theory (Schoenberg, 1964), recursive filtering algorithm (Unser, 1993)

1-28



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## 8. Link with Shannon's sampling theory

The Hilbert-space formulation of polynomial spline approximation provides an extension of Shannon's classical sampling theorem.

### ■ Polynomial spline interpolator

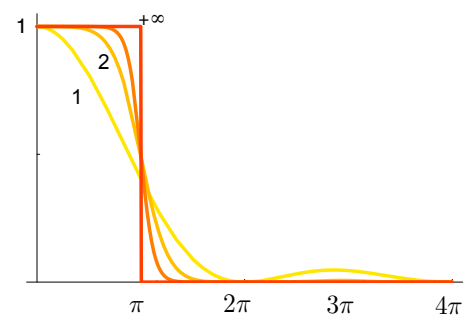
Impulse response

$$\varphi_{\text{int}}^n(x)$$

$\xleftrightarrow{\mathcal{F}}$

Frequency response

$$\hat{\varphi}_{\text{int}}^n(\omega) = \underbrace{\left(\frac{\sin(\omega/2)}{\omega/2}\right)^{n+1}}_{\hat{\beta}^n(\omega)} H_{\text{int}}^n(e^{j\omega})$$



### ■ Asymptotic property

The cardinal-spline interpolators converge to the sinc interpolator (ideal filter) as the degree goes to infinity:

$$\lim_{n \rightarrow \infty} \varphi_{\text{int}}^n(x) = \text{sinc}(x), \quad \lim_{n \rightarrow \infty} \hat{\varphi}_{\text{int}}^n(\omega) = \text{rect}\left(\frac{\omega}{2\pi}\right) \quad (\text{in all } L_p\text{-norms})$$

# Splines and stochastic processes

Splines are in direct correspondence with stochastic processes (stationary or fractals) that are solution of the same partial differential equation, but with a random driving term.

Defining operator equation:  $L\{s(\cdot)\}(\mathbf{x}) = r(\mathbf{x})$

## ■ Specific driving terms

■  $r(\mathbf{x}) = \delta(\mathbf{x}) \Rightarrow s(\mathbf{x}) = L^{-1}\{\delta\}(\mathbf{x})$  : Green function

■  $r(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbb{Z}^d} a[\mathbf{k}] \delta(\mathbf{x} - \mathbf{k}) \Rightarrow s(\mathbf{x})$  : Cardinal L-spline

■  $r(\mathbf{x})$ : white noise  $\Rightarrow s(\mathbf{x})$ : generalized stochastic process



non-empty null space of L, boundary conditions

References: stationary proc. (Unser, *IEEE-SP* 2006), fractals (Blu, *IEEE-SP* 2007)

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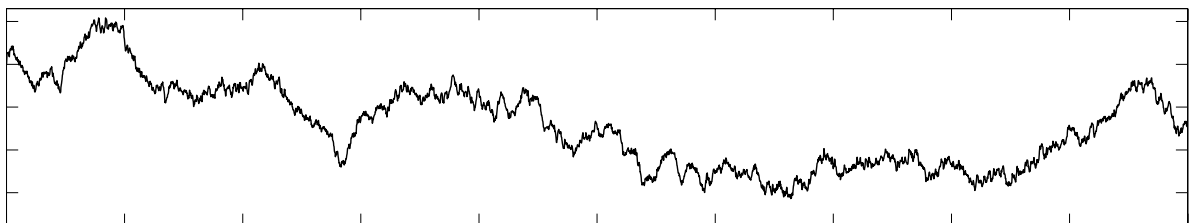
## Example: Brownian motion synthesis

$L = \frac{d}{dx} \Rightarrow L^{-1}$ : integrator

$r(x) = w(x) \rightarrow L^{-1}\{\cdot\} \rightarrow s(x)$

white Gaussian noise

Brownian motion



(Wiener, 1926)

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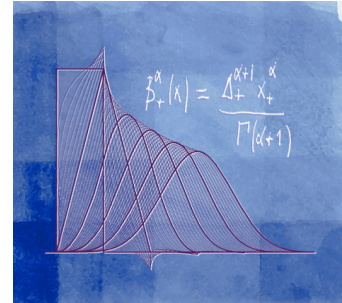
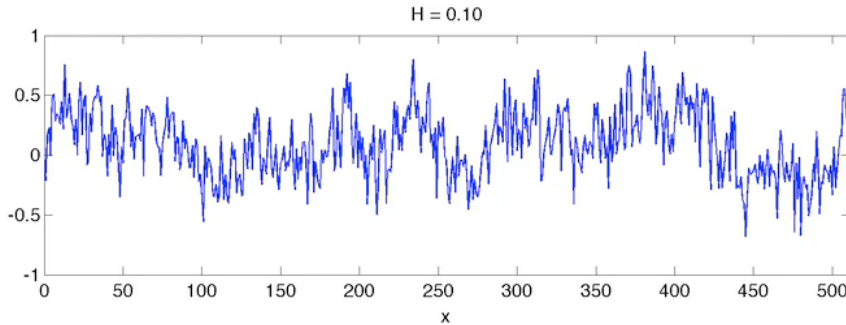
## Example: going fractional (fBm)

$$L \xleftrightarrow{\mathcal{F}} (j\omega)^{H+\frac{1}{2}} \Rightarrow L^{-1}: \text{fractional integrator}$$

$$r(x) = w(x) \rightarrow L^{-1}\{\cdot\} \rightarrow s(x)$$

white Gaussian noise

fractional Brownian motion



(Mandelbrot, 1968)

fractional B-splines (2000)

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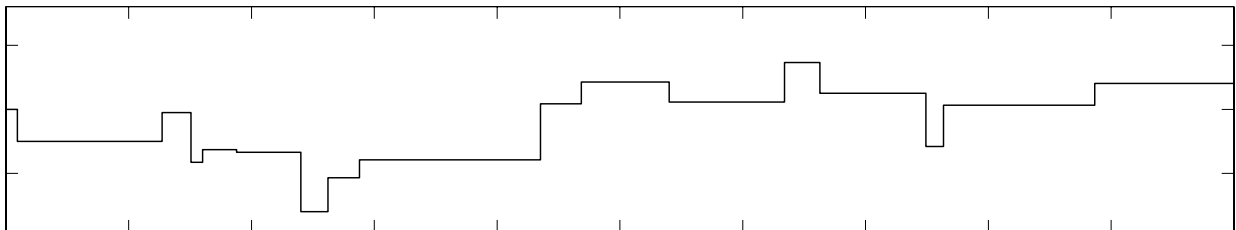
## Example: Compound Poisson process (sparse)

$$L = \frac{d}{dx} \Rightarrow L^{-1}: \text{integrator}$$

$$r(x) = \sum_k a_k \delta(x - x_k) \rightarrow L^{-1}\{\cdot\} \rightarrow s(x)$$

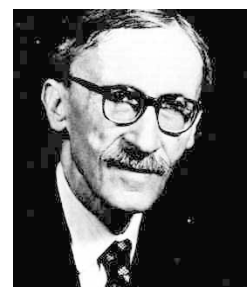
random stream of Diracs

Compound Poisson process



Random jumps with rate  $\lambda$  (Poisson point process)

Jump size distribution:  $a \sim dP(a)$

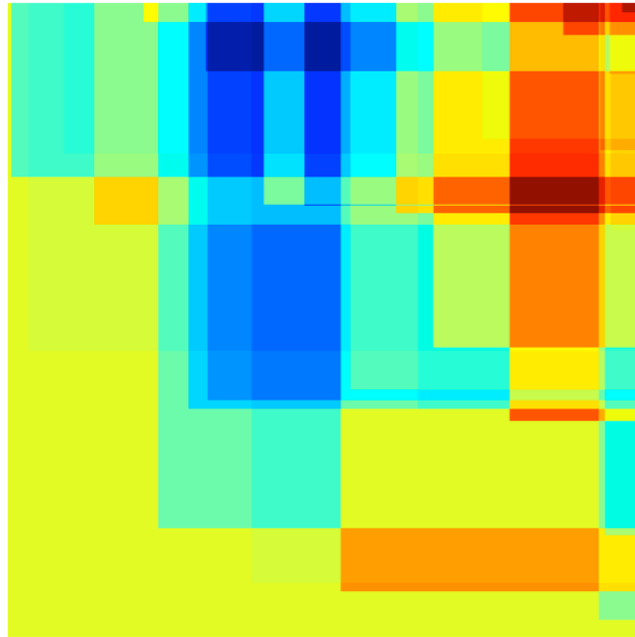


(Paul Lévy, 1934)

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## 2D generalization: the Mondrian process

$$\mathbf{L} = \mathbf{D}_x \mathbf{D}_y \quad \xleftrightarrow{\mathcal{F}} \quad (j\omega_x)(j\omega_y)$$



$$\lambda = 30$$

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## 10. Link with estimation theory

Smoothing splines are minimum-mean-square-error estimators (e.g., hybrid Wiener filters) for a corresponding class of stochastic processes (stationary and fractal)

- Measurement model:  $f[\mathbf{k}] = s(\mathbf{x})|_{\mathbf{x}=\mathbf{k}} + n[\mathbf{k}]$

- $s(\mathbf{x})$ : realization of a Gaussian stationary or fractal (fBm) process s.t.

$$E[\mathbf{L}s(\mathbf{x}_1) \cdot \mathbf{L}s(\mathbf{x}_2)] = \sigma_0^2 \delta(\mathbf{x}_1 - \mathbf{x}_2) \quad (\text{whitening operator } \mathbf{L})$$

- $n[\mathbf{k}]$ : white Gaussian noise with variance  $\sigma^2$

- MMSE spline estimator of signal  $s(\mathbf{x})$ :

$$E[s(\mathbf{x})|f] = \sum_{\mathbf{k} \in \mathbb{Z}^d} (h_\lambda * f)[\mathbf{k}] \varphi_{\mathbf{L}^* \mathbf{L}}(\mathbf{x} - \mathbf{k})$$

$\varphi_{\mathbf{L}^* \mathbf{L}}(\mathbf{x})$ :  $\mathbf{L}^* \mathbf{L}$ -spline generator

$h_\lambda[\mathbf{k}]$ : smoothing spline filter

$\lambda = \sigma^2 / \sigma_0^2$ : regularization factor

References: stationary proc. (Unser, *IEEE-SP* 2006), fBm (Blu, *IEEE-SP* 2007)

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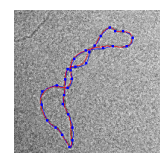
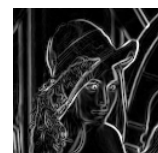
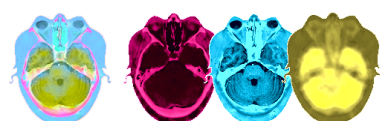
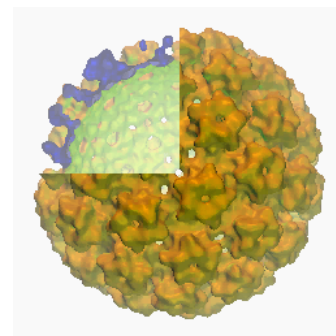
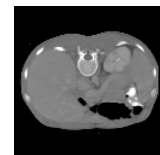
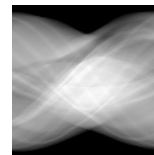
## ... ADDITIONAL ONES ...

- Attractive Hilbert-space framework for continuous/discrete signal and image processing
- Splines are “ $\pi$  times” better than Daubechies wavelets
- Polynomial splines can be extended to fractional (and even complex) exponents
- Scale invariance and link with fractals (polynomial and fractional splines)
- Generalized (non-stationary) wavelet bases
- ...

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## Splines and biomedical imaging

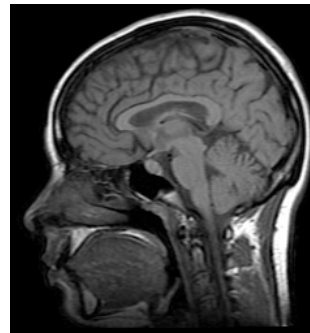
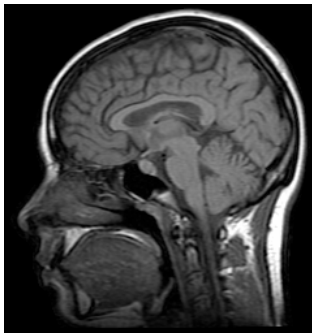
Image processing task	Specific operation	Imaging modality
<b>Tomographic reconstruction</b>	<ul style="list-style-type: none"> <li>• Filtered backprojection</li> <li>• Fourier reconstruction</li> <li>• Iterative techniques</li> <li>• 3D + time</li> </ul>	Commercial CT (X-rays) EM PET, SPECT Dynamic CT, SPECT, PET
<b>Sampling grid conversion</b>	<ul style="list-style-type: none"> <li>• Polar-to-cartesian coordinates</li> <li>• Spiral sampling</li> <li>• k-space sampling</li> <li>• Scan conversion</li> </ul>	Ultrasound (endovascular) Spiral CT, MRI MRI
<b>Visualization</b>	<i>2D operations</i> <ul style="list-style-type: none"> <li>• Zooming, panning, rotation</li> <li>• Re-sizing, scaling</li> </ul>	All
	<ul style="list-style-type: none"> <li>• Stereo imaging</li> <li>• Range, topography</li> </ul>	Fundus camera OCT
	<i>3D operations</i> <ul style="list-style-type: none"> <li>• Re-slicing</li> <li>• Max. intensity projection</li> <li>• Simulated X-ray projection</li> </ul>	CT, MRI, MRA
	<i>Surface/volume rendering</i> <ul style="list-style-type: none"> <li>• Iso-surface ray tracing</li> <li>• Gradient-based shading</li> <li>• Stereogram</li> </ul>	CT MRI
<b>Geometrical correction</b>	<ul style="list-style-type: none"> <li>• Wide-angle lenses</li> <li>• Projective mapping</li> <li>• Aspect ratio, tilt</li> <li>• Magnetic field distortions</li> </ul>	Endoscopy C-Arm fluoroscopy Dental X-rays MRI
<b>Registration</b>	<ul style="list-style-type: none"> <li>• Motion compensation</li> <li>• Image subtraction</li> <li>• Mosaicking</li> <li>• Correlation-averaging</li> <li>• Patient positioning</li> <li>• Retrospective comparisons</li> <li>• Multi-modality imaging</li> <li>• Stereotactic normalization</li> <li>• Brain warping</li> </ul>	fMRI, fundus camera DSA Endoscopy, fundus camera, EM microscopy Surgery, radiotherapy  CT/PET/MRI
<b>Feature detection</b>	<ul style="list-style-type: none"> <li>• Contours</li> <li>• Ridges</li> <li>• Differential geometry</li> </ul>	All
	<i>Contour extraction</i> <ul style="list-style-type: none"> <li>• Snakes and active contours</li> </ul>	MRI, Microscopy (cytology)



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# Spline approximation: LS resizing

Approximation at arbitrary scales: differential approach using splines



$$a = 1 \rightarrow 10$$

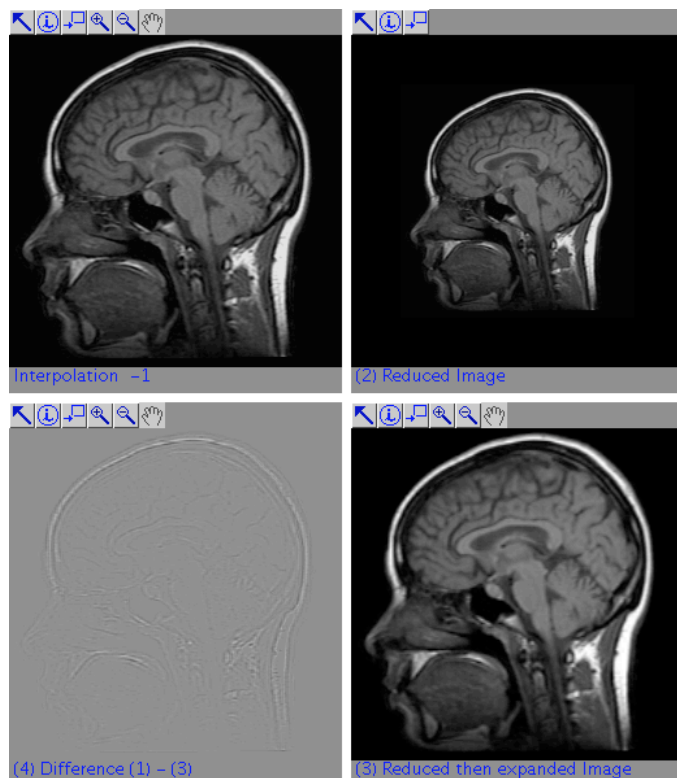
Minimum error approximation (orthogonal projection)

$$f_a(x) = \arg \min_{c_a} \|f(x) - \sum_{k \in \mathbb{Z}} c_a[k] \beta^n(x/a - k)\|_{L_2(\mathbb{R})}^2$$

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## Application: image resizing

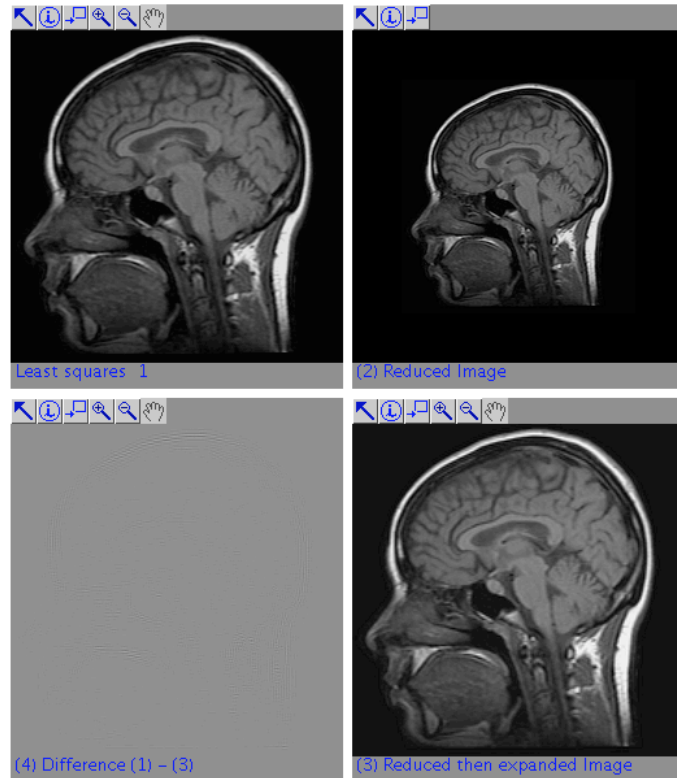
- Resizing algorithm
  - Interpolation
  - Linear splines
  - scaling= 70%



SNR=22.94 dB

# Application: image resizing (LS)

- Resizing algorithm
  - Orthogonal projector
  - Linear splines
  - scaling= 70%



SNR=28.359 dB

+ 5.419 dB



(Munoz et al., *IEEE Trans. Imag. Proc.*, 2001)

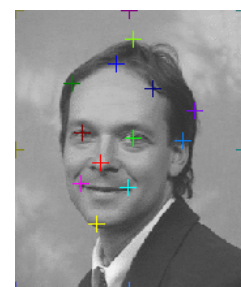
# Elastic registration problem

Find a diffeomorphism (warping):  $x \rightarrow g(x)$  such that  $f_S(g(x)) \approx f_T(x)$

- $f_S(x)$ : source image
- $f_T(x)$ : target image (or reference)
- $g(x) = g(x|\Theta)$ : parametric deformation map

## ■ Problem constraints

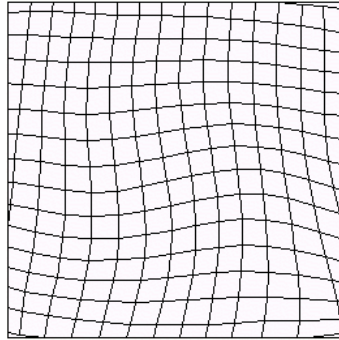
- Similarity measure to compare images
- Smooth deformation field (regularization)
- Parametric model (for better efficiency)
- Optional specification of landmarks:  $x_S^{(n)} \rightarrow x_T^{(n)}$



# Cubic-spline deformation map

Transformed image:  $f_S(\mathbf{g}(\mathbf{x}|\Theta_h))$

Deformation map:  $\mathbf{g}(\mathbf{x}|\Theta_h) = \begin{pmatrix} g_1(\mathbf{x}) \\ g_2(\mathbf{x}) \end{pmatrix} = \sum_{\mathbf{k} \in \mathbb{Z}^2} \begin{pmatrix} c_1[\mathbf{k}] \\ c_2[\mathbf{k}] \end{pmatrix} \beta^3\left(\frac{\mathbf{x}}{h} - \mathbf{k}\right)$



- Parametric model (control points)  
 $\Theta_h = (\dots, c_1[k, l], c_2[k, l], \dots)$
- Resolution controlled by mesh size  $h$
- Smooth deformation (cubic splines)
- Rich variety of spatial mappings, including rigid body, affine, etc.

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# Registration as an optimization problem

$f_S(\mathbf{x}) \rightarrow f_S(\mathbf{g}(\mathbf{x}|\Theta_{\text{opt}}))$  where  $\Theta_{\text{opt}} = \arg \min_{\Theta} \{E_{\text{reg}}(f_S, f_T, \Theta)\}$

$E_{\text{reg}}(f_S, f_T, \Theta) = E_{\text{image}}(f_S, f_T, \Theta) + E_{\text{rough}}(\Theta) + E_{\text{landmark}}(\Theta)$

- Least-squares similarity criterion

$$E_{\text{image}}(f_S, f_T, \Theta) = \sum_{\mathbf{k}} |f_S(\mathbf{g}(\mathbf{k}|\Theta)) - f_T[\mathbf{k}]|^2$$

- Vector-spline roughness penalty

$$E_{\text{rough}}(\Theta) = \lambda_{\text{div}} \left\| \nabla \text{div } \mathbf{g}(\mathbf{x}|\Theta) \right\|_{L_2(\mathbb{R}^2)}^2 + \lambda_{\text{rot}} \left\| \nabla \text{rot } \mathbf{g}(\mathbf{x}|\Theta) \right\|_{L_2(\mathbb{R}^2)}^2$$

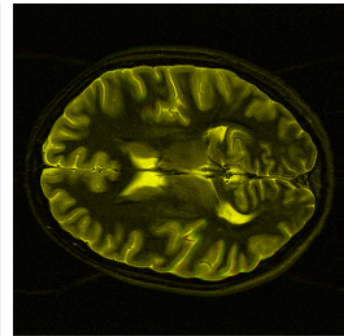
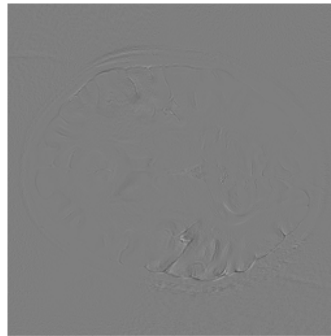
- Landmark constraints:  $\mathbf{x}_S^{(n)} \rightarrow \mathbf{x}_T^{(n)}$

$$E_{\text{landmark}}(\Theta) = \frac{\lambda}{N} \sum_{n=1}^N \left\| \mathbf{g}(\mathbf{x}_S^{(n)}|\Theta) - \mathbf{x}_T^{(n)} \right\|^2$$

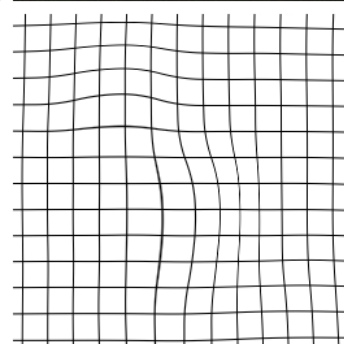
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## UnwarpJ: Implementation details

- Continuous image representation
  - cubic splines
- Consistent implementation
  - analytical derivatives
  - multilevel B-spline discretization
- Quasi-Newton optimization
  - exact gradient of criterion
- Full multiresolution strategy
  - coarse-to-fine on images
  - coarse-to-fine on deformation



Number: 72  
Image: 256x256  
Pix/knot: 32x32  
E: 23.055



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## CONCLUSION

- B-splines are attractive computationally
  - Simple to manipulate; smooth and well-behaved
  - Fast recursive filtering algorithms ( $O(1)$  per sample)
  - Multiresolution properties (pyramid, multigrid, wavelets)
- Splines: a unifying conceptual framework
  - Approximation theory
  - Link with wavelet theory
  - Signals and systems, sampling theory
  - Stochastic processes; regularization and estimation theories
- Practical Hilbert-space framework (SP counterpart of FE) for continuous/discrete image processing
  - “Think analog, act digital”
  - Toolbox: digital filters, convolution operators
  - Flexibility: piecewise-constant to bandlimited

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and graduate students



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- M. Unser, "Cardinal Exponential Splines: Part II—Think Analog, Act Digital," *IEEE Trans. Signal Processing*, vol. 53, no. 4, pp. 1425-1438, April 2005.

- Preprints and demos: <http://bigwww.epfl.ch/>

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